Review of assessment measures in the early years
Language and literacy, numeracy, and social emotional development and mental health
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Executive summary

We completed a systematic search of measures to assess language, literacy, numeracy, and social-emotional development for children up to the age of six. These included individual assessments of children’s competencies in these areas and assessments of the children’s home and early years environment. A review of the relevant competencies for these four core domains provides the basis for identifying appropriate assessments.

Norm-referenced and criterion-referenced tests of children’s performance were included. At this point in their development, the majority of direct assessments of children’s competencies involve one-to-one assessments. Measures completed by proxy (by parents, care staff, or teachers) were also included and typically used for social-emotional aspects of development and assessments of the environment. All measures were evaluated for developmental appropriateness and psychometric features.

One hundred and forty-six individual child assessments were identified, of which 13 had no published information and were excluded from further evaluation. For the remaining 133 assessments, a qualitative synthesis was completed where there were appropriate U.K. norms for the measure and where data was provided on reliability and validity (N = 47). We also included criterion-referenced assessments that included the key domains. In addition, we identified nine published tools reporting psychometric information for evaluating home and early learning environments.

A wide range of language measures with established norms was identified. By contrast, for the domains of numeracy and literacy, fewer measures were available. However, for children in the target age range, criterion-referenced measures may be more appropriate for identifying early literacy and numeracy skills. Although there are many measures of social-emotional development available, the majority failed to meet the psychometric selection criteria. Four measures of social-emotional development captured a range of key elements of the domain.

A set of questions to consider when choosing a measure to evaluate children’s abilities was developed from the review process.
1. Research brief and Glossary

Glossary

**Centile**: the percentile score that divides observations in a set into 100 intervals each containing an equal number of observations.

**Construct validity**: the extent to which a test measures what it claims to measure.

**Criterion referenced assessment**: measures a child’s performance against a fixed set of predetermined criteria or learning standards—that is, concise, written descriptions of what students are expected to know and be able to do at a specific stage of their education. The driving test is an example of a criterion referenced assessment.

**Domain**: in the current review, domains are considered to be a set of interrelated principles, skills, and rules of application that apply to a developmental competency. Language, reading, numeracy, and socio-emotional development are considered domains.

**Dynamic assessment**: a method of conducting an assessment which aims to identify the skills that an individual child possesses as well as their learning potential. The dynamic assessment procedure emphasizes the learning process and accounts for the amount and nature of examiner investment.

**Face validity**: examines whether a test ‘appears’ to measure what it is supposed to measure.

**Inter-rater reliability**: the relationship between scorings of the same test or behaviour by two different raters (assessors or scorers) working independently.

**Norm referenced assessment**: measures a child’s performance against the performance results of a statistically selected group of test-takers, typically of the same age or grade level and background who have already taken the assessment.

**Outliers**: data values that differ greatly from the majority of a set of data. These values fall outside of an overall trend that is present in the data.

**Ranking**: the process of ordering a set of results on a scale. There is no assumption that the intervals between the ranks are equivalent.

**Raw data**: results as recorded which have not been transformed statistically or recategorised.

**Reliability**: the extent to which a test gives consistent results if applied more than once to the same child under the same conditions. Reliability coefficients are, typically, correlations that assess reliability. Different reliability measures exist. Internal consistency demonstrates the extent to which a measure is consistent with itself. External reliability demonstrates the extent to which a measure varies from one use to another.

**Responsiveness to change**: dependent on the intended purpose and domain, measures can be used to identify ‘meaningful change’ (for example, above a threshold for intervention).

**Standard scores**: raw scores can be transformed into standardised scores to allow for comparisons between measures and pupils. These scores take into account a pupil’s relative position in relation to a wider sample or ‘established norm’.

**Split-half reliability**: a measure of reliability in which the test items are randomly divided into two equal sets and the correlation coefficient between the total scores on the two sets is used as the estimate of reliability.

**Test-retest reliability**: a sample of participants are tested on two separate occasions by the same test and the reliability is taken as the correlation between the scores.

**Validity**: a test is valid if it measures what it is supposed to measure. Both content validity (see ‘face validity’ and ‘construct validity’) and criterion validity of a test can be examined.

**Variance**: a measure of the spread or dispersion of a set of scores.

A review of the current assessments for children under the age of six was carried out. Assessments relating to both the individual child and to their home or early years environments were considered.

- In terms of child-based assessments, four domains were examined: language, literacy, numeracy, and social-emotional development.
- Where omnibus developmental measures existed that included one or more of these domains, they were also examined in the review.
- Both norm- and criterion-referenced measures were included.
- We also examined tools that were designed to assess the child’s environment. Tools that aimed to provide an overview of the learning environment, and those relating to domain-specific skills relevant to the core domains, were evaluated.

The review was guided by research experts. It included a critical review of current measures and a review of measures used in published studies. For each of the core domains, key features of the domain were identified and implications for assessment and evaluation made at the end of each of the sections.

To identify inclusionary criteria for measures to be evaluated in qualitative synthesis, we drew on the research literature addressing psychometric features of assessments, specific requirements for evaluating changes in performance over time, and guidance from experts in the field. A series of inclusionary and exclusionary criteria for the measures designed to assess children under six years of age were developed and evaluated by the steering committee.

Both norm- and criterion-referenced assessments were included but subject to different inclusionary criteria. Only norm-referenced tests with U.K. standardisations were included in the final selection while criterion-referenced tests were included irrespective of their country of construction. A stepped inclusion criterion was used and this is described in the methods section.

A searchable database of all the measures was created, and for measures that met our minimum inclusionary criteria (see ‘Criteria for choice of assessments’, page 9), star ratings were developed. Stars were awarded for psychometric properties of the measure. Three stars were available and were awarded for:

- recent (post 2000) representative U.K. normed sample;
- published data on reliability; and
- published data on instrument validity.

There was also a maximum of three stars for implementation. Implementation stars were awarded for:

- unrestricted use, no prior professional accreditation required;
- ease of scoring; and
- administration time (less than 30 minutes).

As a first step, it is important to identify the key questions to ask when deciding on assessments for language, literacy, numeracy, social-emotional behaviour, and the home and learning environments for children in the early years. In the following section we outline these key questions. The subsequent report then develops these themes providing evidence and examples. A database was developed alongside this report which indicates which assessments meet the set criteria for inclusion.
2. Key questions

Does the measure reflect the target domain?

To answer the question it is necessary to understand what the key competencies are that underpin or measure the skills targeted. This understanding should be informed by current research findings. There is consistent evidence across both child and environmental measures that domain-specific measures, rather than general measures, are more sensitive to tapping key constructs and are more sensitive to change. Reviews of the domains are provided in Section 4.

Is the measure appropriate for the target population?

There are a number of challenges in assessing children under the age of six. Typically, they need to be assessed one-to-one and time for assessment is limited by their skills and their ability to attend to the task. Lack of skills in areas necessary for completing the task, such as oral language, written language, or motor skills, may result in unreliable results. For example, the majority of tests require children to follow oral instructions. In using tests, assessors must be alert to the possibility that children’s failure of items in the target domain, for example literacy and numeracy, may reflect difficulties in understanding the test language rather than insecure or inadequate knowledge of the target domain.

For children in this age-range there will be some skills, such as numeracy and literacy, which are just beginning to develop. These early stages will be more appropriately assessed using criterion-referenced tests. When norm-referenced tests are used, norms should be provided for a relevant U.K. population so that appropriate conclusions can be drawn about the child’s performance. Where children are at the top or bottom of the age-range recommended for the test, the assessment may be less sensitive. Section 3 addresses this issue.

Are criteria developmentally appropriate?

Criterion-referenced tests are fixed to a set of predetermined criteria which include concise, written descriptions of what students are expected to know or do at that specific point in development. By contrast, normed-referenced tests compare an individual to similar peers of the same age. Norm-referenced tests need to demonstrate they are valid measures of the construct.

Does the test conform to minimum psychometric properties?

Measures need to provide data that is robust and allows analyses over time. Because of the inherent psychometric problems associated with age and grade equivalents that seriously limit their reliability and validity, these scores should not be used for making diagnostic, placement decisions, or for tracking development (Bracken, 1988; Reynolds, 1981). Standard scores are a more accurate representation of an examinee’s ability because they are based not only on the mean at a given age level but also on the distribution of scores. As such, there are minimum psychometric properties that tests must contain (Section 3 in the report provides details).

It is important to consider both the reliability and validity of the measures used. There are four main types of reliability: test-retest, inter-rater, parallel form, and split-half (see Rust and Golombek, 1991). All published tests should report, at a minimum, test-retest reliability, which demonstrates that a test gives consistent results over a short period (for example, one week). Where scoring is not completely objective—for example, using checklists or coding qualitative data—inter-rater reliability should be reported. This helps ascertain whether different raters (scorers or assessors) would provide the same scores.

The validity of a measure can also be examined in a number of ways (see Rust and Golombek, 1991) of which the most important are concurrent and predictive validity. ‘Concurrent validity’ establishes how well a test compares to another measure of the same construct; ‘predictive validity’
concerns how well an assessment predicts future performance on the construct. We found few measures in our review that included predictive validity for performance in children under six. Therefore, in our star ratings, we focused on concurrent validity, but where measures of predictive validity were available, these are reported.

Does administration of the test or tool require any special considerations?

Some assessments, specifically one-to-one tests used by psychologists or speech and language therapists, require special accreditation before use. This can limit their utility in intervention studies and in classrooms.

For one-to-one assessments of young children, it is important to ascertain that the child can attend for the full duration of the assessment protocol. It may be necessary to break up testing into several sessions: the younger the child, the shorter each session should be.

Special consideration needs to be taken about training for observational schedules, and assessments should be made of the reliability of the observers’ judgments. Finally, both transparency of coding and scoring should be considered. Scoring criteria should be explicit and unambiguous. Where raters need to score children’s responses—such as narrative language—clear guidelines are required to ensure the robustness of the assessments.
3. The assessment of young children’s competencies

A number of different types of tools may be used, either alone or in combination, to assist in assessing or profiling early skills: standardised norm-referenced tests, criterion-referenced tests, developmental profiles or checklists, observational procedures, teaching experiments, or bespoke experimental measures. Measures may either involve direct assessment of the child’s skills with standardised tests or observations, or be collected by using a proxy such as a parent or teacher.

Norm-referenced tests provide information about where an individual lies on a particular ability (such as naming vocabulary) or attainment (such as reading or numeracy) in comparison to peers of the same age. The measurements produced are of varying degrees of sophistication: ranks, centiles, and standardised scores. Rank and centile scores are highly susceptible to over-interpretation (Tindal and Marston, 1986) and percentiles are not appropriate for statistical analyses of group data over time (Kline, 1986).

Norm-referenced tests should present evidence about the standardisation sample and measures of reliability and validity (Rust and Golombok, 1999). Assessments can report different types of reliability, but to ensure the robustness of the measure, reliability should be reported as it demonstrates the consistency of the measure. Of particular importance for measures concerning children are measures of external reliability that indicate whether the test varies in usage. The two main measures are inter-rater reliability and test-retest reliability. ‘Inter-rater reliability’ refers to the extent that different raters give consistent estimates of the child’s performance or behaviour; ‘test-retest reliability’ refers to the extent to which the test will produce the same results when measurement occurs at a close point in time. Test-retest reliability coefficients (coefficients of stability) vary between 0 and 1, where 1 indicates perfect reliability and coefficients below 0.7 indicate unreliability. For tests in the qualitative synthesis of assessments, at least one measure of reliability was reported.

There are also a number of different forms of test validity. Validity can be assessed by comparing a test with a similar test designed to measure the same functions at the same point in time (‘concurrent validity’)—so one reading test might be compared with another. Alternatively, the results of the test can be compared with another measure of the attribute (‘construct validity’). For example, results on an expressive vocabulary test might be validated against a receptive vocabulary test. Test constructors may report different types of validity and reliability, but to ensure the robustness of the measure, such data should be available. The existence of such data has been incorporated into the star ratings for psychometric properties.

Tests can only provide appropriate norms if they are used for the population for which they were intended. The comparability between the standardisation sample used to determine normal values of the test and the sample that is being assessed are key for normative comparisons. The standardisation sample is the basis for establishing national norms. Although the sample is smaller than the population it represents, it cannot be too small or it will be biased. Test developers select a sample of participants they believe represent the relevant population. According to the Standards for Educational and Psychological Tests (American Psychological Association, 2014), the number of participants in each group should not be less than 100. The standardisation sample needs to be based on a systematic stratification of socio-economic status, geographic region, ethnicity, and gender (see for example Cicchetti, 1994) which allows population norms to be created. The standardisation sample must be large enough to provide stable estimates of the population average and variance. Very small normative samples are problematic because too much depends on the performance of the few participants tested, and in these cases, participants with very high or very low scores (outliers) have undue influence on averages and standard deviations.

The criteria outlined above are the basis for both national and international guidance for interpreting normative data from tests. In the U.K., care must be taken if U.S. norms are used: these are unlikely to be appropriate for U.K. samples for a number of contextual and psychometric reasons. For
example, as the U.S. standardisation sample will have included children from very different social contexts with different educational opportunities, ethnic composition, and geographical distributions, patterns of performance may vary. By corollary, norms based on a sample of monolingual children may not be appropriate for bilingual children or for children who are English language learners. Norms should also be as current as possible: norms become outdated by about three points a decade (Trahan, Stuebing, Hiscock and Fletcher, 2014), commonly referred to as ‘the Flynn effect’. In addition, norms may well be influenced by changes in teaching practices. So for example, the current U.K. emphasis on phonics has led to children performing much better on phonics tests than was previously the case. As such, it is recommended that measures are regularly re-standardised.

Data for test reliability and validity should be available in relevant technical appendices. Alternative ways of sourcing this information is through the peer-reviewed research literature, however, as we found, a significant number of assessments are published with little supporting psychometric information and with no access to technical appendices. This questions their utility for screening, assessment, and measuring change.

Criterion-referenced tests are concerned with the skills required to complete a task or set of tasks. The criteria are used to identify performance in relation to task-specific skills, such as, ‘can count to ten orally, in order, and unaided’. Such tests help identify whether or not a child possesses some particular skill or competence and may allow for the analysis of error patterns. These tests are not designed to discriminate among individuals in the same way that norm-referenced tests do; rather, they are designed to provide a clear indication of what a child can and cannot do and thereby are a guide to what skills should be taught next, and if used in an intervention study, whether the target has been achieved.

A test can be both norm-referenced and criterion-referenced. Criterion-referenced tests are characterised by the deliberate attempt to yield measurements that are directly interpretable in terms of specified performance standards. Despite this emphasis, there is no single type of test that can be identified as the prototype criterion-referenced test. The assessment tool will depend on the nature of the domain and the focus of the scale. A well-defined domain is necessary to identify potential test items. Thus, to develop a criterion-referenced tool for reading we must know what the constituent skills are for reading at a specified point in development. As we shall show, both letter-naming and phonic skills are important in the initial stages of learning to read. However, the tool might also focus on different dimensions of the reading process and this would result in the construction of scales with different items, such as proficiency (how fluently reading proceeds) or the developmental prerequisites to reading such as oral language skills.

Although standardised, norm-referenced assessments are still the most widely used means of evaluating children’s abilities, these static tests only give a snapshot in time and do not reveal why children perform poorly. Children might perform poorly on a test for a variety of reasons, and these differences could potentially be important for intervention and measuring change (Grigorenko, 2009). There is, therefore, an increasing interest in an interactive approach to conducting assessments that focuses on the child’s ability to respond to intervention—the child’s capacity for change or ‘modifiability’ (Cho, Compton, Fuchs, Fuchs and Bouton, 2014; Fuchs, Compton, Fuchs, Bouton and Caffrey, 2011; Seethaler, Fuchs, Fuchs and Compton, 2012; Tiekstra, Minnaert and Hessels, 2016). This approach is called ‘dynamic assessment’, and, unlike traditional testing, it employs a ‘test, teach, retest’ procedure to assess the child’s learning processes.

Dynamic assessment is considered more culturally fair to those from different linguistic or cultural backgrounds (Lidz and Peña, 2009) and may be more sensitive for measuring change in language over time (Hasson and Botting, 2010). Furthermore, this approach has been shown to distinguish between children whose language is delayed—but whose capacity for learning language is not impaired—versus those with a language disorder, be they monolingual or bilingual (Hasson et al., 2013; Peña, Resendiz and Gillam, 2007). While several types of dynamic assessment have been developed in recent years, including for vocabulary (Camilleri and Botting, 2013), syntax (Hasson, Dodd and Botting, 2012) and narrative (Peña, Spaulding and Plante, 2006), as well as more
omnibus tests (Hasson et al., 2013), only one language test is commercially available (Miller, Gillam, and Peña, 2001).

Criteria for choice of assessments

The data derived from these different assessments has implications for analyses and interpretation. For example, the Education Endowment Foundation (EEF) recommends in its analysis guidance that evaluators control for prior attainment using a regression model. It is therefore important to consider which pre-test to use, and whether or not it is possible to use National Pupil Database data (please see the EEF’s paper comparing the NPD to standardised tests). In addition, it is important to be aware that the nature of the assessment chosen to measure the outcome of an intervention has implications for the likely results and size of effects reported. For example, experimenter-designed assessments consistently result in higher effect sizes than standardized measures (Rosenhine and Meister, 1994).

To identify inclusionary criteria for measures to be evaluated in qualitative synthesis, we drew on the research literature addressing psychometric features of assessments, specific requirements for evaluating interventions, and guidance from experts in the field. A series of inclusionary and exclusionary criteria for the measures designed to assess children under six years of age was developed and evaluated by the steering committee. Both norm- and criterion-referenced assessments were included, but subject to different inclusionary criteria. Only norm-referenced tests with U.K. standardisations were included in the final selection, while criterion-referenced tests were included irrespective of their country of construction. A stepped inclusion criterion was used and this is described in the Methods section.
4. Domains

The domains of interest were specified in the tender: language and communication, literacy, numeracy, social-emotional development, and the home and early years learning environment. As a first step, we conducted an initial review of the research literature to establish the potential competencies to be considered within each domain. These are outlined below.

4.1 Language and communication

It is important to distinguish between ‘language’ and ‘communication’. Overviews of the development of communication and language can be found in recent texts (see for example Saxton, 2010) and a recent EEF report also focuses specifically on early language development (Law, Charlton, Dockrell, Gascoigne, McKean and Theakston, 2017), providing an overview of typical language development.

Communication is the transmission of information, and infants communicate from the minute they are born. Early infant communication is a precursor to later language and social development and these early skills can be examined. Interactional competencies that develop in the first two years of life provide a foundation for further social and communicative developments. Included within social communication are, for example, joint attention, which is the ability to co-ordinate attention with a social partner around an event or object, and discourse management, which includes the appropriate use of behaviours such as turn-taking and the use of gestures. The use of gestures, for example, emerges between seven and 15 months (Liszkowski et al., 2012) and intentional pointing from 12 months (Tomasello, Carpenter and Liszkowski, 2007). These skills are assessed both by observation and caregiver or professional reports. There is considerable variation in when children develop these skills thus specifying developmental milestones is difficult. Moreover, many of the current checklists in use have not been validated psychometrically in terms of reliability and validity (see for example Mok and Lam, 2011) making the assessment of these communicative skills challenging.

Language, in contrast, is primarily a representational system that emerges as the child’s cognitive skills scaffold understanding and organisation of the world. These skills provide a foundation for learning and socio-emotional development and involve both understanding language (‘receptive language’) and using language (‘expressive language’). The language system is itself composed of a number of subcomponents that are important for effective understanding and communication and differentially impact on later development. These include:

- the lexicon (vocabulary);
- syntax (the rules for combining words into phrases and sentences);
- morphology (the rules for constructing larger words out of smaller units of meaning);
- phonology (the sounds that make up words and the rules that combine sounds); and
- pragmatics (the rules of social communication).

As children’s language develops, they produce extended and coherent oral narratives which allow them to communicate with others effectively and efficiently. All of these subcomponents of the language system can be assessed independently, focusing on either receptive or expressive skills, or can be used to provide a holistic measure. However, some researchers have argued that much of the variance in standardised measures of language appears to be attributable to a single common factor pointing to the need for using language assessments that include a combination of language subcomponents and provide an overall language outcome (Language and Literacy Research Consortium, 2015; Tomblin and Zhang, 2006).
Conventional approaches to assessing oral language

Assessments of language development typically focus on three domains: vocabulary, grammar, and social communication. Vocabulary measures are commonly used to assess children’s language skills. Both the child’s understanding of words (receptive vocabulary) and their ability to use words (expressive vocabulary) can be assessed. Typically, forced-choice receptive measures are used but these provide limited information about the child’s vocabulary skills and are not informative about language delays more generally. Research has consistently indicated that vocabulary scores cannot be used as if they were indicators of general language ability (Gray, Plante, Vance and Henrichsen, 1999; Spaulding, Hosmer and Schechtman, 2013). By contrast, more complex measures assessing depth and breadth of vocabulary often include oral definitions. While these measures provide a greater insight into the child’s lexical representations, the measures can conflated other aspects of expressive language with vocabulary knowledge.

Grammatical knowledge can also be assessed both by receptive and expressive measures. Sentence repetition tests are quick and easy to administer, and also easy to score because, in contrast to most expressive language tests (such as tests of narrative), the targets are explicit and precisely specified (Chiat et al., 2013). Depending on how they are constructed (what types of sentence structures they use), they can yield not only quantitative data (such as how many sentences a child repeats accurately) but also qualitative data (for example, what types of sentences a child finds particularly difficult, and what type of errors they make; Riches et al., 2010; Komeili and Marshall, 2013).

Narrative assessments are less frequently used to assess children’s language competence, but narrative is an important means of measuring language performance and is correlated with later written text production. Conventional language tests elicit production and test comprehension using artificial tasks; by contrast, narrative tasks provide a more natural setting to examine children’s language skills. Perhaps the most commonly used assessment of narrative is the Bus Story (Renfrew, 1997), designed to be used for children from three and a half to seven years, but the standardisation is old, scoring is complex, and errors are common (Haccoun, 2001). Thus, while it remains one of the most commonly used tools, training is required for administration and reliable scoring. The assessment of narrative skill is a burgeoning area of research and the identification of narrative assessments which can be scored consistently and are sensitive to change after intervention (index of narrative complexity) is a promising development (Petersen, Gillam and Gillam, 2008).

The majority of assessments of oral language are not designed for, nor are they appropriate for, monitoring progress. They typically take a long time to administer, can be complicated to score, and have specifications about the length of time required between assessments due the psychometric properties of the measures. Measures considered gold standard by clinicians, such as the CELF (Clinical Evaluation of Language Fundamentals; Semel, Wiig and Secord, 2004), require clinical training and are not intended for frequent administration. Recently there have been attempts to address these challenges. For example, the Developmental Snapshot (Gilkerson and Richards, 2008) was designed to assess vocal and language skills in children aged from 2–36 months. It can be administered monthly, takes 15 minutes, and does not require any intensive training of the parents. There are currently no U.K. norms for the Developmental Snapshot, but data from the U.S. is promising, suggesting that Snapshot can work as a tool to provide parents with feedback on child language skills, reveal risk for delays, act as a progress monitor, measure intervention effectiveness, and increase parental awareness of language behaviours (Gilkerson, Richards, Greenwood and Montgomery, 2017).

Ways forward in assessing language and communication

The multifaceted nature of language and communication requires a decision about which subcomponents should be evaluated. Each component involves both receptive and expressive aspects and the assessment of one subcomponent without drawing on another is challenging. Social
communication skills are least likely to be evaluated from a standardised perspective, but are likely to be important elements in later social-emotional and behavioural development. The use of universal screening instruments—to assess pre-school children’s language and communication abilities, including appropriate communication in context in order to target interventions—have not proved sufficiently reliable to date for their use to be recommended (Siu, 2015). The high degree of variability in the nature of children’s language trajectories in the preschool years is particularly challenging (Reilly, McKean and Levickis, 2014; Reilly, Tomblin, et al., 2014; Reilly et al., 2010), and research highlights the need to match comparison samples using the most reliable and valid measures to address the efficacy of any intervention.

There are a number of sources that review language measures (Dockrell and Marshall, 2015; Friberg, 2010; Hoffman, Loeb, Brandel and Gillam, 2011; Law and Roy, 2008) or provide wider guidance on the assessment of language difficulties (McCauley, 2001). Swineford and colleagues (Swineford, Thurm, Baird, Wetherby and Swedo, 2014) have provided an overview of measures available to assess pragmatic language. There is increasing evidence to demonstrate that the exposures children have to language, both at home and in early years settings, have a significant impact on their language trajectories; assessments of language should, therefore, also capture the language-learning environment (Hoff, 2003; Law et al., 2007; Pullen and Justice, 2003; Weisleder and Fernald, 2013).

4.2 Literacy

Literacy includes reading and writing. The only element of writing covered here is spelling as although as children mature grammar and composition become important, for the under sixes these dimensions are insufficiently developed to reliably assess.

Reading

The broad underlying dimensions of reading have been usefully captured in the Simple Model of Reading (Gough, 1996), which proposes that reading comprehension (RC), in lay terms what we typically mean by ‘reading’, is a combination of word reading efficiency (WRE) and language comprehension (LC)—or RC = WRE x LC. In other words, in order to read, the child needs to be able to read single words and also to understand what they are reading at sentence and text level. The understanding aspect is equated with language comprehension in the Simple Model of Reading. This model is very widely accepted and provides an overarching conceptualisation of the domain. (Language comprehension is discussed in Section 4.1.) Reading assessments typically assess not only WRE but also the child’s understanding of the text, always referred to as ‘comprehension’, and it can be argued that there is more to the comprehension side of reading than is captured in language assessment (Hurry and Doctor, 2007). However, the assessment of comprehension in the medium of reading is reliant on children’s ability to read words. Children under five typically are not efficient word readers, and even children under six are not sufficiently fluent word readers to enable the effective measurement of reading comprehension. The focus here will therefore be substantially on WRE, also referred to as early or emergent literacy (McCachian and Arrow, 2014; Norwalk, Di Perna and Pui-Wa, 2014).

Children read words either through sight word recognition or through some aspect of alphabetic decoding that converts letters to sounds. Opinions differ as to the extent of the separation of sight word reading and other methods of decoding, but there is a widely accepted developmental sequence: some aspects of sight word recognition are normally considered to come first (the pre-alphabetic phase), followed by increasing use of the alphabetic decoding strategies (the partial alphabetic stage), followed by the full alphabetic stage (Ehri, 1995). Sight word recognition makes use of the visual features of words; alphabetic decoding requires children to understand and apply the link between letters and sounds, referred to as the alphabetic principal (Byrne, 1998). Ehri’s ‘partial alphabetic phase’ is an acknowledgement that understanding the connection between letters
and sounds is not an all-or-nothing event and that children take time to merge these different strategies for reading, initially making use of partial connections between letters and sounds. According to Ehri (1994), the pre-alphabetic stage is typically observed in preschool; partial alphabetic reading begins normally at the start of schooling, but may be developed beforehand; and full alphabetic reading happens in the first two years of school (Ehri’s ‘full-alphabetic phase’), developing in later years into secure and fluent word reading (Ehri’s ‘consolidated-alphabetic phase’, also referred to by Frith (1985) and others as the ‘orthographic phase’).

Core reading skills

The core skills of WRE are:

- early or emergent skills, comprising letter knowledge and phonological skills;
- word reading accuracy; and
- word reading efficiency—combined speed and accuracy (McClachlan and Arrow, 2014).

These are described below.

Letter knowledge

Letter knowledge has been consistently found to predict reading skills (see Foulin, 2005 for a review), evident in children up to age 9–10 years (Senechal, 2006). There are at least two aspects to letter knowledge: letter name knowledge (LNK) and letter sound knowledge (LSK). LNK is implicated in Ehri’s pre-alphabetic phase and has been shown to have a role in early reading and spelling (Ehri and Wilce, 1985), perhaps, in part, providing a link between orthographic and phonological cues before children have securely acquired the alphabetic principle (Treiman and Rodriguez, 1999). LNK aids LSK (Kim, Petscher, Foorman and Zhou, 2010). However, in order to break the alphabetic code, children need not only LSK but also phonological awareness (Foorman et al., 2003). Schatschnieder, Fletcher, Francis, Carlson, and Foorman (2004), in a longitudinal study of typically-developing readers in the U.S.A., found that LNK was predictive of first grade (6–7 years of age) reading outcomes only at the beginning of kindergarten (5–6 years of age) whereas LSK was predictive at the beginning and end of kindergarten. By first grade, neither LNK nor LSK predicted subsequent reading outcomes because most students knew virtually all letter names and sounds at the beginning of the year. The acquisition of these skills will be influenced both by nationally recommended teaching guidelines and age of school entry.

Phonological awareness

Phonological awareness is the ability to hear and manipulate the sounds in language and follows a rough developmental sequence (Anthony and Francis, 2005) starting with an awareness of, and ability to manipulate, the larger sound chunks of words and syllables, before developing the ability to recognise the smaller units in different positions in the word, with an awareness of rimes, alliteration, and phonemes. This entails an ability to identify the various units of sound and then to manipulate them in operations of sound deletion and blending. Assessments of phonological awareness typically include measures of the awareness of rhyme and alliteration, and the ability to manipulate sounds—as in spoonerisms, phoneme segmentation, deletion, and blending:

- Spoonerism is the transposition of initial sounds—for example, cat with a /t/ gives fat.
- Phoneme segmentation is the separation of spoken words into their phonemes—for example, segmenting cat into /c/, /a/, and /t/.
- Deletion involves saying what a word will sound like on the removal of a sound, for example, stop remove /t/ is sop.
- Blending is identifying a word from its constituent sounds (phonemes or syllables) in isolation—for example, /c/, /a/, /t/ spells cat.
In addition to assessing the child’s ability to perform these operations, phonological tests may assess the speed at which they can do this (fluency) through Rapid Automatic Naming. Children can be assessed on their ability to recognise rhyme and alliteration as young as three years of age and these skills are incorporated in a number of language and literacy assessments, for example, the Phonological Assessment Battery (PhAB—see Table 5).

Word reading
Word reading accuracy is typically measured through word reading and non-word reading tests. The former usually involves a list of words of increasing difficulty, both phonetically regular and irregular—the latter usually a list of non-words. Word reading tests do not typically differentiate between sight word reading and non-lexical decoding since children can use either method to read the words. It is for this reason that non-word reading tests are useful as children must use non-lexical strategies to decode these unfamiliar words. Word reading efficiency, or fluency, is a measure of how quickly the child can accurately read words and non-words, obtained by timing the speed at which the child correctly reads a specified list of words. Measures of fluency are really most useful for children older than six years as they assess the more mature, automatized reading which characterises the consolidated-alphabetic (Ehri, 1994) or orthographic (Frith, 1985) phase. Typically, children will begin to learn to read words from the beginning of formal schooling and to read non-words using phonic strategies slightly later. This reflects the developmental sequence referred to above, where sight word reading typically precedes phonological decoding.

Conventions of print
While it is not possible to test preschoolers’ reading comprehension, it is proposed by Clay (2002) that measuring children’s understanding of the concepts about print provides a measure of their knowledge of the conventions of print—such as: print and not pictures carry the message, directionality, spoken to written word correspondence, and the meaning of various aspects of punctuation.

Spelling
Spelling skills are typically quite highly correlated with reading—for example, Ehri (1987) reports correlations ranging from 0.66–0.90. This is unsurprising because the two aspects of literacy both rely on similar skills. In the initial stages of spelling, which concern us here, those skills rely substantially on letter knowledge and phonological awareness. Treiman and Cassar (1997) summarise the principle developmental theories of spelling development (Ehri, 1986; Gentry, 1982; Henderson, 1985). The various theories differ in some details, but they all recognise that early spelling development is characterised by an increasing use of phonic strategies. As spellers mature, they build a greater repertoire of sight spelling and begin to understand the meaning relations among words (morphemes) which they use to help them spell. Ehri (1986) identifies three broad stages of spelling development, similar to her stages of reading development mentioned above:

1. semi-phonetic (typically 4–6 years): spellers begin to distinguish sounds or syllables, usually the first or last, with letters that match their letter names—R (are), U (you), J-F (giraffe);
2. phonetic (typically 5–7 years): the child can symbolise the entire sound structure of words in their spellings, and the letters they choose are more conventional, but the letters are assigned strictly on the basis of sound—GERAF (giraffe) (Treiman and Cassar, 1997); and
3. morphemic (6–11 years): the child becomes more aware of conventional spelling, employing visual and morphological information in spelling—for example, children learn to represent the ‘t’ sound at the end of past tense regular verbs with ‘ed’ (Nunes, Bryant and Bindman, 1997).

Prior to the start of schooling (age 3–5 years) children will make up writing, beginning with scribbles and increasingly encompassing letters or letter-like symbols, but with little evidence of LNK or LSK.
This is referred to as ‘emergent writing’ (Clay, 2002), the ‘pre-alphabetic stage’ (Ehri, 1986), or the ‘precommunicative stage’ (Gentry, 1982). The semi-phonetic phase only normally commences with the beginning of formal schooling and is slower to take off (in terms of numbers of words correctly spelled) than reading (in terms of numbers of words correctly read) which is to be expected as it requires the child to produce a spelling rather than recognise a word. Thus assessing spelling below the age of six is very limited, with an absence of appropriately standardised norms of word spelling, or even ability to write letter sounds and blends (as in the WIAT-II, Wechsler D., 2005). Tests that allow children to choose their own words to spell (as in the Written Vocabulary Test, Clay, 2013) or to write the sounds in words (as in Hearing Sounds in Words, Clay, 2013) allow children under six years a greater opportunity to show what they can do.

Conventional approaches to the assessment of literacy

By far the most common and reliable form of assessment of children’s literacy skills is through standardised tasks, both visual and oral. For children aged two to six years, assessment needs to be individual as they will be typically unable to record their responses (for example, the administration of Performance Indicators in Primary Schools (PIPS), Demetriou, Merrell and Tymms, 2017).

There have been assessments of young children’s literacy that are completed by teachers using observation and a checklist approach, notably, in England, the Early Years Foundation Stage Profile (EYFSP). While these criterion-referenced tests may be useful for teachers managing children’s learning, they are not recommended as a primary measure for the evaluation of interventions, though collecting this data may have value for links to policy and practice. In the view of the Department for Education, ‘The EYFS Profile is not intended to be used for ongoing assessment or for entry-level assessment for Early Years settings or Reception classes’ (DfE, 2014, p. 5). The known psychometric properties of the test confirm this position. The current version of the EYFS Profile, introduced in 2012, comprises 17 items measured on a three-point scale. Children’s performance on this profile are recorded on the NPD, which may be generally useful for evaluators to consider, as outlined above, however, only two items address literacy (reading and writing) making this a very crude scale, and there is no data publicly available on the reliability or validity of the items.

Ways forward in assessing early literacy

Besides the need to conduct individual assessment, tasks need to be framed in a context which is meaningful to children. Assessing children younger than three years old on these tasks is inadvisable as they are unlikely to have any of the necessary skills. Because the tests involve children listening to sounds, it is very important that they are tested in a quiet location and that appropriate actions are taken to ensure the participants have no hearing problems.

A further challenge is negotiating floor and ceiling effects. Most children are unlikely to be able to read words or non-words until they have been in school for a term or two and therefore testing reading is likely to result in a floor effect. However, during their first year in formal schooling they will improve dramatically in aspects of the emergent reading skills outlined above, notably in LNK, so a test which is appropriate for a four-and-a-half-year-old may fail to discriminate between children a year later due to a ceiling effect. A test of word or non-word reading which shows a floor effect at age five might be useful a year later—and as the child matures. While tests of phonological awareness have a larger age-range than conventional reading tests for this young age group, depending on the purpose of the assessment, measures of reading (both WRE and comprehension) are likely to be desirable for tests of effectiveness spanning the early school years. Baseline assessment of reading can therefore be useful—even where there is little discrimination between five-year-olds due to floor effects—as it establishes a common platform for the assessment of later progress.

Criterion-referenced assessments will provide greater clarity in exactly what the child can and cannot do.
Children's skills in different aspects of 'emergent reading' and reading will be influenced by the instruction they have experienced. Children exposed to phonics instruction will apply those strategies; children taught using sight words techniques will be more adept in sight word reading. Assessments should be selected with an awareness of the instructional context.

There is a fairly straightforward array of relevant literacy skills to be assessed in three- to six-year-olds. Tests should include assessment of:

1. **LNK and LSK**: these skills are tested very simply and quickly, typically in under five minutes.
2. **Phonological awareness**: a full battery of phonological tests can take 20–30 minutes to conduct, however, if time is limited, selecting key subtests provides a useful strategy, probably most suitably involving awareness and manipulation of larger sound chunks (words and syllables) and awareness of smaller sound chunks (rhyme and alliteration).
3. If the testing is a baseline for a longitudinal study going into Year 1, it might be useful to assess word and non-word reading at baseline, even though many children may score nothing or very little, as it will provide a measure which can be re-used at follow-up, demonstrating progress on the same measure over time. However, such measures should be used in addition to, rather than instead of, the tests of letter knowledge and phonological awareness as they are unlikely to capture the variation between children at baseline. The phonics screening check—recorded on the NPD—comprises 20 words and 20 non-words and was specifically designed for Year 1 children (5–6-year olds): in 2016, 81% of children (32 out of 40) met the expected standard at the end of Year 1 (DfE, 2016) suggesting a good level of sensitivity for that point and therefore a useful outcome measure at six years old. Lack of data about performance of younger children makes it problematic as a baseline measure for early years interventions.

### 4.3 Numeracy

There is general agreement that in the preschool years many children begin developing the symbolic number skills of counting, transcoding, comparing number magnitudes, and simple arithmetic that are required for more advanced numeracy (Geary, 1994; Siegler and Braithwaite, 2017). The development of these skills is a function of the product of instruction and the child's general cognitive skills: with no instruction there is no development, however cognitively skilled the child. Symbolic number skills and general cognitive skills continue to develop during primary school.

What is less agreed is the relationship between symbolic number skills and non-symbolic skills. Non-symbolic number skills include discriminating between sets—for example, identifying the more numerous of two sets of objects without counting—and being surprised by impossible results of transformations, such as $1 + 1 = 1$. Some have inferred these non-symbolic number skills from studies of the looking times of human babies (Feigenson, Libertus and Halberda, 2013; Wynn, 1992) but the inference of skills from looking times is claimed to be unsound and challenged by evidence from the study of toddlers who lack the skills that have been credited to infants (Huttenlocher, Jordan and Levine, 1994; Mix, Levine and Huttenlocher, 1997; Moore and Cocas, 2006).

Non-symbolic skills deficits have been claimed to underlie mathematical learning difficulties, or dyscalculia (Mazzocco, Feigenson and Halberda, 2011), but this, too, is challenged (Iuculano, Tang, Hall and Butterworth, 2008). Similarly, there are mixed results about the prediction of later symbolic number skills from earlier non-symbolic number skills (Göbel, Watson, Lervåg and Hulme, 2014; Starr, Libertus and Brannon, 2013). Perhaps part of the problem about non-symbolic skills is the result of measurement problems (Gilmore, Attridge and Inglis, 2011).

Correlational studies are invariably limited in determining the influence of particular skills on others. They need to be supplemented with plausible and empirically supported theories of how the skills affect others. Evidence from properly conducted intervention studies has the potential to strengthen
the causal inferences made. Currently, there is no clear support for the view that training young children to improve their non-symbolic skills benefits their symbolic number skills (Räsänen, Salminen, Wilson, Aunio and Dehaene, 2009) whereas several studies show the benefits of symbolic number skill training (Siegler, 2009).

Counting

Children are first introduced to symbolic numbers through being taught the number word sequence and how to use it to count sets of objects. In counting a set of objects, the child co-ordinates the sequence of symbolic number words, starting with one, with the items in the set so that each item has one—and only one—number word. When all the items in the set have been co-ordinated with number words, the last symbolic number word represents the cardinal value, or exact numerosity, of the set.

Preschool children vary in how far they can consistently and accurately recite the number word sequence, how skillful they are in co-ordinating it to determine numerosity, and how flexible they are in counting. Flexible counting can be manifested by the ability to count objects in nonlinear arrays and by the understanding of the irrelevance of the order of counting individual items, as long as each object is counted once and only once. Gelman and Gallistel (1978) proposed that counting involved three how-to-count principles: ‘the one-one principle’—that each item must be associated with one, and only one, number tag; ‘the stable-order principle’—that the sequence of number tags must be consistent from one count to the next; and ‘the cardinal principle’—that the last number tag represents the number of items in the set. They also proposed the ‘order-irrelevance principle’—that the order in which a set is counted does not matter as long as the counting respects the three how-to-count principles and the ‘abstraction principle’—that any discriminable entities can be counted.

Gelman and Gallistel (1978) asserted that children must be innately equipped with skeletal versions of the counting principles. Also, Gelman and Meck (1983) found that even preschoolers who did not abide by these principles in their own counting were adept at judging puppet counts, in particular discriminating correct counts from counts that violated the how-to-count principles.

By contrast, Briars and Siegler (1984) asserted that there was no need to credit children with skeletal principles. The widespread early emergence of counting was due to the widespread attempts by adults to teach preschoolers to count. Furthermore, their studies of children judging puppet counts indicated that even at five, many children were unclear about the difference between essential and optional features of counting.

It is typical of early number assessments to include some measure of knowledge of the number word sequence, and some items assessing the ability to count sets of objects or subsets, such as the red objects in an array of red and yellow objects. Some assessments include items requiring children to assess the correctness of a puppet’s counting.

Transcoding

Transcoding is the ability to translate between the Hindu-Arabic system for representing number using digits and place value, and the verbal numeration system, spoken and written. Skill in transcoding is essential for written arithmetic. It can be assessed by tasks involving reading numbers in one system or writing them in the other. Early number assessments typically include some items assessing reading Hindu-Arabic numbers with one or two digits.

Comparing numerical magnitudes

Although the number word sequence embodies differences in numerical magnitude (for example, later number words, such as seven, refer to greater magnitudes than earlier number words, such as six) many children lack insight into the number word sequence. It is some time after being able to recite the number sequence consistently and accurately that children are able to judge whether six is more or less than seven.
In research on individual differences in the number skills of older children, both speed and accuracy of numeral comparison have been found to correlate with variation in more general arithmetic skills (Cowan and Powell, 2014). Early number assessments typically include just accuracy measures.

Simple arithmetic

Facility in simple arithmetic—addition of single digits and complementary subtractions—has long been recognised as a foundation for more complex arithmetic (Cowan et al., 2011). Knowledge of combinations so that retrieval is used to solve all simple arithmetic problems has been considered to be fundamental for mathematical development (Baroody, 2006) and primary school systems have sought to develop it in pupils. The foundational role for such knowledge is, however, challenged by the variation shown by undergraduates (LeFevre, Sadesky and Bisanz, 1996), and the limited use of retrieval by some primary school children who are doing well in mathematics (Cowan et al., 2011; Siegler, 1988). Accurate solution of simple arithmetic problems may be fundamental, but accurate solution of these problems by retrieval is not. There are various formats for presenting simple arithmetic problems: nonverbal arithmetic (Jordan, Huttenlocher and Levine, 1994), number-fact problems, and story problems.

Nonverbal arithmetic items involve children observing the tester represent a number with objects on a mat. The objects are then screened. The experimenter then adds or subtracts a number of objects to the set under cover and the child responds by saying, or showing on their mat, the number of objects now under the experimenter's cover. Number-fact problems are presented verbally, for example, ‘How much is two and four?’ Children are allowed to solve these problems by any method. Some will state their answer. Others may count, with or without using their fingers.

Story problems embed simple arithmetic problems in verbal contexts. Story problems involving addition and subtraction can vary substantially in complexity (Riley and Greeno, 1988). Most children from kindergarten onward succeed on problems where the result is the unknown, but it is not until Year 4 that similar levels of success are achieved on problems with unknown initial quantities. More complex story problems make greater demands on both mathematical and language understanding because the child has to understand the story to be able to identify the corresponding arithmetic problem. Persistent weakness in solving story problems by children with mathematical difficulties has frequently been reported (for example, Russell and Ginsburg, 1984). Early years assessments just use the simplest form of story problem that is ‘result unknown’ as in: ‘Sally has four crayons. Stan gives her three more crayons. How many crayons does Sally have now?’ Most early years assessments feature number-fact problems. Some have all three types of simple calculation problems.

Conventional approaches to the assessment of numeracy

Until recently, research expenditure on understanding typical number development has lagged considerably behind expenditure on understanding language and literacy development (Dowker and Sigley, 2010). This research is now yielding new ideas about what skills are core and how they develop. These new ideas have yet to influence the blueprints for designing or revising U.K.-standardised tests such as BAS III and WIAT II UK. This lack of influence of contemporary ideas on tests is a failing because it means that the tests do not reflect the target domain.

Another failing of existing standardised tests is that they have too few items assessing important number skills. Knowledge is not all or none: skills in counting, transcoding, and simple arithmetic develop over several years. Using too few items to assess a skill risks insufficiency in initial assessment and insensitivity to change.

If standardised tests are currently inadequate because they lack a grounding in contemporary numeracy research, then it may seem better to take tests directly from academic research. This has other kinds of risk. In academic research there is rarely any obligation on researchers to establish the reliability of the procedures they use beyond internal reliability, or to establish validity beyond
face validity. There is no expectation that the results with any individual will be used to make decisions about them or that the results with groups will be used to judge the effectiveness of programmes.

Instruments such as the Number Knowledge Test (Griffin, 2005) and the Number Sense Screener (Jordan, Glutting, Ramineni and Watkins, 2010) are developing into assessments with good psychometric characteristics although their applicability to the study of very young children is less established. The advantages of these measures over existing standardised and norm-referenced tests lie in their foundation in current knowledge of number development and the number of items per skill.

Ways forward in assessing early numeracy

In common with other domains, children below five years will vary considerably in their experience of having their number skills assessed. More than other domains, early years teachers may lack expertise in assessing number skills. The implications of these are that number skills assessments of very young children are likely to be inaccurate and so genuine improvement may be difficult to determine.

Assessing cognitive skills like numeracy requires children’s understanding of what they are being asked to do, willingness to co-operate, and effort. Researchers are free to exclude children who are unwilling or unable to comply with assessment, but this is not a good solution for intervention studies.

Good psychometric practice requires that all measures used should avoid zero scores (Grigorenko and Sternberg, 1999), however, scores of zero may be genuine reflections of the child’s knowledge if valid measures of preschool numeracy are used with children as young as two. If very young children are to be assessed then it may be worthwhile to develop adult informant checklists to supplement child-based measures (see section on ‘home learning environment’, page 25).

A good assessment of children’s number skills would assess competence in each number skill. It would include at least one item in each skill that all children pass. It would include another five items that vary in complexity.

4.4 Social-emotional development

Early social and emotional development is broadly defined as the capacity of the young child to form close and secure adult and peer relationships, experience and regulate emotions in socially and culturally appropriate ways, and explore the environment and learn. Much research suggests that skills within the social and emotional domain play a critical role for later life success across a wide variety of outcomes (Heckman et al., 2006; Jones et al., 2015). The challenge, however, despite many years of research on early socio-emotional skills, is how they should be measured. This is due, in part, to a weak consensus about which constructs should be used to capture them (Halle and Darling-Churchill, 2016). Perhaps the most widely used classification of these constructs is into four, theoretically distinct, domains: social competence, emotional competence, behaviour problems, and self-regulation. For children under five, these are related to the three broad SPECTRUM domains of ‘motivation, goal orientation and perseverance’, ‘social and emotional competency’, and ‘mental health and wellbeing’. SPECTRUM was commissioned by the EEF and is an online database of non-academic skills and essential skills-measures based on a review by the University of Manchester.

Social competence

‘Social competence’ refers to the extent to which young children are effective in their social interactions with others, both peers and older children or adults, including being able to make and
sustain social connections, demonstrate co-operation with peers and adults, and adjust their behaviour to meet the demands of different social contexts such as playgroups and families. Peer relationships and prosocial behaviour have received much attention, especially in social developmental psychology.

Peer relationship problems, such as exclusion or rejection, are very strongly related to mental health problems (Arseneault, Bowes and Shakoor, 2010; Arseneault et al., 2006; Fekkes et al., 2006; Gini and Pozzoli, 2009). Via their association with stress and depressive symptoms, they can also impair cognitive functioning (Holmes, Kim-Spoon and Deater-Deckard, 2016; Vaillancourt et al., 2011) and academic achievement (Buhs, Ladd and Herald, 2006). Exclusion or rejection by peers is typically studied in the context of bullying (Godleski, Kamper, Ostrov, Hart and Blakely-McClure, 2015; Wolke, Woods, Bloomfield and Karstadt, 2000). Bullying is a distinct type of aggression, characterised by a repeated and systematic abuse of power (Olweus, 2013). It can encompass both physical and verbal aggressive actions, and can be direct (for example, hitting) or indirect (spreading rumours). There is much research into the relationship between bullying involvement (whether bully, victim, bully-victim, or ‘neutral’ status) and health and behaviour (for example, Arsenault et al., 2006; 2010; Olweus, 2013).

Prosocial behaviour is a cluster of traits and behaviours including helping, sharing, comforting, and co-operating. Prosociality benefits others and promotes positive social relationships, but is also related to subjective well-being in both children (Eisenberg, Fabes and Spinrad, 2006) and adults (Weinstein and Ryan, 2010). Its relationship with mental health, especially in children, is more complex. While prosocial behaviour is negatively related to ‘acting-out’ behaviours, it co-occurs with both high and low anxiety and depressive symptoms (Nantel-Vivier, Pihl, Côté and Tremblay, 2014). It does appear, however, that engagement in prosocial behaviour is linked to subsequent social information processing, an important determinant of children's social adjustment (Crick and Dodge, 1994), such that children engaging in prosocial behaviour show benign attribution biases and socially competent response strategies (Laible, McGinley, Carlo, Augustine and Murphy, 2014; Nelson and Crick, 1999). Laible et al. (2014) showed that engaging in prosocial behaviour likely evokes positive responses from others, which, in turn, cements children’s positive internal working models and trust in the goodness of others.

**Emotional competence**

‘Emotional competence’ refers to the ability to understand the emotions of self and others, read emotional cues, react to others’ emotions, regulate one’s own emotions, and understand the consequences of one’s own emotional expressiveness. Thus, social and emotional competence are strongly inter-related. Most research on emotional competence and its development in young children has focused on the development and consequences of young children’s ability to understand others’ feelings (‘emotion understanding’) and to manage their own (‘emotion regulation’). With respect to emotion understanding, much research has shown that children who understand emotions are more prosocial, have better relationships with their peers, are more liked by them, and are rated as more socially competent by their teachers. Emotion understanding allows young children to react appropriately to others, thus enabling them to function appropriately and effectively in a social world (Denham et al., 2003). Emotion regulation, which ‘consists of the extrinsic and intrinsic processes responsible for monitoring, evaluating, and modifying emotional reactions […] to accomplish one’s goals’ (Thompson, 1994, pp. 27–28) is critical in initiating, motivating, and organising adaptive behaviour, but also in preventing maladaptive behaviours (Cole et al., 2004). For example, much research has shown that both children with anxiety and depressive symptoms and those with externalising symptomatology (see below for definitions) show poor emotion regulation (Eisenberg et al., 2001).
Behaviour problems

This domain has attracted the most research interest—partly because of the strong empirical links between early behaviour problems and many adverse life outcomes later on. Among young children, ‘behaviour problems’ is sometimes used interchangeably with ‘emotional and behavioural problems’ (‘difficulties’) and ‘social, emotional and behavioural difficulties’. Research on the presentation, nosology, and epidemiology of clinically significant behaviour problems in preschool children from age two years on (when, typically, behaviour problems can first be measured) tends to focus on the five most common groups of childhood psychiatric disorders: attention deficit hyperactivity disorder, oppositional defiant disorder, conduct disorder, anxiety disorders, and depressive disorders. There are several approaches to classifying behaviour difficulties in preschoolers and determining the boundaries between normative variation and clinically significant presentations. Most emphasise the importance of using empirically-derived checklists, one of which, the Strengths and Difficulties Questionnaire (SDQ, Goodman), is very widely used in the U.K. In these approaches, behaviour problems are typically classified as ‘internalising’ (such as worry, fear, anxiety, sadness, shyness, or social withdrawal) or ‘externalising’ (such as hostility, disruptive, noncompliance, or aggression). It is important to note that behaviour problems and social or emotional competence are not mutually exclusive: some children will be emotionally or socially competent but also exhibit behaviour problems; similarly, children who are ‘problem-free’ may still show low emotional or social competence. It is also important to note that many problems evident early are transient, reflecting, perhaps, a difficult developmental transition, a reaction to a stressful life event, or family turmoil. Concerns have been raised about both over-identification of problem behaviours that are time-limited, and under-identification of serious problems. Longitudinal studies are necessary to differentiate between transient adjustment difficulties and more persistent and potentially severe problems (Campbell et al., 2016).

Self-regulation

‘Self-regulation’, sometimes also called self-control or self-discipline by personality researchers, can be considered from both behavioural and neural systems perspectives and it concerns (a) emotion-related processes of reactivity and regulation, and (b) cognitive processes, including working memory and attention deployment. The consensus is that children’s self-regulation indexes their ability to manage or modulate positive and negative emotions—to inhibit or control their behaviour, and to shift and focus their attention. Behaviour problems and self-regulation are therefore closely inter-related, not least because difficulties in self-regulation manifest themselves as behaviour problems and a lack of social and emotional competence. In preschoolers, competence in self-regulation is inferred sometimes from performance in tasks measuring the temperament dimensions of emotion regulation and ‘effortful control’, and sometimes from performance in tasks measuring ‘executive function’ and emotion regulation. Executive function and effortful control share considerable similarities and overlaps in definitions, core components, and measurement. To simplify, effortful control is primarily the focus of temperament research (Kochanska, Murray and Harlan, 2000), and executive function, that of cognitive neuroscience and clinical psychology (Garon, Bryson and Smith, 2008). Calls for a model of self-regulation integrating the theory and methodology of effortful control and executive function, not new, increasingly point to the benefits of such an integration for research and theory but also educational and clinical practices (Zhou, Chen and Main, 2012).

Because self-regulation is so broadly defined, its measurement can range from laboratory or experimental tests and observation measures to subjective reports (Duckworth and Kern, 2011; McClelland and Cameron, 2012). We note here three recent advances with respect to the measurement of executive function and emotional regulation in preschoolers. The first is the NIH Toolbox project whose NIH Toolbox Early Childhood Cognition Battery, recommended for ages three to six, measures executive function.¹ The battery includes the DCCS (Dimensional Change Card

¹ http://www.nihtoolbox.org/Resources/Documents/NIH%20Toolbox-Summary%20description.docx
Sort), Flanker (measuring attention and inhibitory control), Picture Sequence Memory, and Picture Vocabulary measures. The second is the development of a quick, easy-to-use, direct measure of self-regulation for children aged four to six, the Head-Toes-Knees-Shoulders test (HTKS; Ponitz, McClelland, Matthews and Morrison, 2009). However, neither of these are published, nor are relevant psychometric data reported and therefore not examined in the database in the second stage. The third, that emotional dysregulation, can be reliably measured with the Child Behavior Checklist (CBCL) in preschoolers (Geeraerts et al., 2015). The Dysregulation Profile (DP) of the CBCL is derived from the Anxious/Depressed, Aggressive Behavior, and Attention Problems scales (or AAA scales), in turn pointing to the overlap, discussed above, between behaviour problems and emotional dysregulation.

Conventional approaches to the assessment of social and emotional skills

In the U.K., the SDQ (Strengths and Difficulties Questionnaire) is typically used to assess social and emotional skills in children, especially when broad assessments of such skills are required. As discussed in the next section, however, emotional and social skills need to be considered in context. For example, children with disabilities often show elevated levels of socio-emotional problems, especially when they have co-occurring communication difficulties (ASD: Maskey et al., 2013; SLCN: Lindsay, Dockrell and Strand, 2007). Another important point to note is that emotional and social skills in children, especially in the early years, can show moderate or even weak stability over time (Wichstrøm et al., 2017). This, in turn, means that measuring them cross-sectionally, frequently the only possibility, can lead to erroneous conclusions.

Ways forward in assessing social and emotional skills

As shown above, social and emotional skills are captured by both indices of difficulties and measures of strengths. Difficulties and strengths do not fall on a continuum, and the absence of problems does not guarantee the presence of competencies. In fact, many children follow atypical joint trajectories of difficulties and strengths (Nantel-Vivier et al., 2014). It is therefore important to measure, wherever possible, both. Another important point to note is that there may be significant challenges associated with capturing these competencies across different child populations, such as children with disabilities or those from diverse cultural backgrounds. For example, socialisation practices that differ across cultural groups can result in corresponding differences in parent-child or peer interactions. This can lead to seemingly erroneous conclusions concerning the normality of certain behaviours, especially before children become integrated in the state education system. There is a need, therefore, for measures of social and emotional development that are sensitive to cultural variations in social behaviour and that accurately capture the diversity of young children’s competencies. Another important challenge is that the borders between social and emotional development, and other important domains of young children’s development, are not always clear or agreed upon. For example, there is disagreement within and across the fields of psychology as to whether executive function skills are part of children’s self-regulation or are a distinct set of skills that contribute to children’s self-regulation. There is clearly a need for more work to provide conceptual clarity. The last two decades have generated much useful information about the importance of early social and emotional competencies for success across developmental periods and in a variety of life domains. However, the area of social and emotional development still suffers from the ‘jingle and jangle fallacies’ (Jones et al., 2016): the ‘jingle fallacy’ refers to the use of a single term to represent a wide variety of skills, and the ‘jangle fallacy’ refers to the use of different terms to refer to the same skill. Nonetheless, there is increasing recognition that building consensus as to the definition of social and emotional skills and ensuring depth and precision in measurement must be a priority (Darling-Churchill and Lippman, 2016). Perhaps the measurement of social and emotional skills could benefit from the development of a clear taxonomy of criteria on which measures can be evaluated, in line with the taxonomies found in other areas of research (for example, http://www.cosmin.nl/). As discussed in the previous section, it will also benefit from recognising the
importance of undertaking a careful consideration of a child’s characteristics and contexts and a longitudinal assessment of his or her skills.

4.5 The learning environment

This section moves from examining assessments of the child to focus on the child’s environmental context. The rationale for considering environmental factors is outlined and approaches to assessing the child’s home and early years environment considered. Unlike language, literacy, numeracy, and social-emotional development, there are few well-developed measures in the area. This is reflected in this report in that we provide, in this section, a narrative overview of the measures available but they are not included in the measures database. Effectively, assessments of the environment depend either on parental report, through questionnaires, or observations of the home and early years contexts—or a combination of the two.

Home learning environment

Attempts to examine home learning environments have been in use for over half a century reflecting the understanding that children develop within a wider social context (Bronfenbrenner and Morris, 2006). These measures aim to go beyond describing children’s social context as determined by socio-economic status and to identify and profile environmental processes that can drive development. It is now well established that the quality of a child’s home learning environment in the first years of life is associated with cognitive performance at school entry and later educational achievement. To provide a differentiated view of the child’s early learning opportunities, attempts have been made to develop measures which assess both the emotional support and cognitive stimulation in the home environment.

One of the earliest measures developed—and most commonly used—is the HOME (‘Home Observation for Measurement of the Environment’, Elardo and Bradley, 1981). The primary goal of the instrument is to measure, within a natural context, the quality and quantity of stimulation and support available to a child in the home environment—the child as an active recipient of inputs from objects, events, and transactions occurring in connection with the family surroundings. The infant and toddler version of HOME (birth to three years of age) has five subscales:

- emotional and verbal responsivity of the primary caregiver;
- avoidance of restriction and punishment;
- organisation of the physical and temporal environment;
- provision of appropriate play materials;
- parental involvement with the child and
- variety in daily stimulation.

The Early Childhood HOME (for children from three to six) has eight subscales including: learning materials, academic stimulation, physical environment, responsivity, academic stimulation, modelling, variety, and acceptance.

The HOME scale is administered in the form of an interview about the child while observations of the mothers’ behaviour are also made. Its strength is that it is relatively simple to administer, has good psychometric properties, and can be used to predict cognitive and linguistic development (for a review, see Totsika and Sylva, 2004). Over the years, researchers have altered the format in a range of different ways and there have been numerous debates about both which scales and which formats should be used (Linver, Brooks-Gunn and Cabrera, 2004). For example, father presence has been isolated in some studies so that its unique effects can be examined (Mott, 1993). Although not as frequently utilised as the overall scale and the two main subscales, individual items and researcher-constructed subscales representing a variety of specific concepts are also studied as predictors and as outcomes. There is also an argument that large scale studies can use a limited
number of HOME items to create conceptually meaningful measures of the child’s environment (Fuligni, Han and Brooks-Gunn, 2004).

There are both practical and conceptual limitations with the measure. From a practical perspective, a major limitation is a lack of standardised administration procedure; this can lead to inconsistent results, especially if researchers are not trained. Conceptually, the HOME, like other similar measures, is a global assessment. There is increasing evidence to indicate that models of the home learning environment should consider different domains of support, both general and domain-specific (Kluczniok, Lehrl, Kuger and Rossbach, 2013). As we describe below, domain-specific approaches have considered both the home literacy environment (HLE) and the home numeracy environment (HNE). The HLE has typically been conceptualised as both home literacy practices and parental reading beliefs. Data is generally collected by bespoke questionnaires (that is, not in the public domain) or single questions. The majority of work has focused on mothers’ practices but the role of fathers becomes increasingly important when mothers have lower levels of education (Foster, Froyen, Skibbe, Bowles and Decker, 2016). Studies have examined a wide range of HLEs, including children in areas of disadvantage (Farver, Xu, Lonigan and Eppe, 2013), children with learning challenges (Breit-Smith, Cabell and Justice, 2010; Dolezal-Sams, Nordquist and Twardosz, 2009), and children in a range of cultural contexts (Kalia and Reese, 2009; Marjanovic Umek, Podlesek and Fekonja, 2005). While there is some overlap in the questions asked, there is a lack of consistency in the parental completion checklists of the HLE. Typical items include frequency of reading to the child, the number of children’s books in the home, the frequency of parental teaching of literacy skills, and the frequency of library visits. Studies vary in the number of items used, with some studies reporting only three items, and often there is no evidence of the psychometric rationale for the choice of items. In addition, research studies have demonstrated that aspects of the HLE environment are differentially related to literacy and language development (Hood, Conlon and Andrews, 2008). As such, intervention studies need to be specific about a priori targets and HLE domains.

In contrast to work on the HLE, there are fewer studies on the HNE, yet there is evidence that the HNE influences children’s mathematical abilities (Kleemans, Peeters, Segers and Verhoeven, 2012), with different aspects of the home environment predicting different numeracy skills (Skwarchuk, Sowinski and LeFevre, 2014). Similar to the literacy measures, both beliefs and practices are assessed by parents completing checklists. No standard measures were found. Measures ranged from as few as three questions (Niklas and Schneider, 2014) to more complex tools developed for a specific study. For example, the Early Maths Questionnaire contains 36 questions on maths-related activities and 13 on maths-related beliefs (Missall, Hojnoski, Caskie and Repasky, 2015).

Despite the numerous bespoke questionnaires to examine HLE and HNE, there are few tested observation schedules that directly focus on both language and literacy. The Child/Home Environmental Language and Literacy Observation (CHELLO), is a notable exception. The CHELLO has been developed to examine the quality of the language and literacy environment in home-based childcare settings (Neuman, Koh and Dwyer, 2008). The tool provides professionals with the ability to examine key instructional and affective supports known to promote children’s learning. The publishers state that the measure can be used to provide benchmarks to measure change in language and literacy and the initial research study identifies a number of psychometric strengths.

Early years settings

As with the home learning environment, there is incremental data about the importance of the ‘quality’ of the early years settings that children attend (Sylva, 2014). A number of unpublished measures exist which assess both the classroom context and teachers responsivity. Our focus is on measures in the public domain that have been used in research, ideally in the U.K. (see Bryant, 2010, for more details).

The ECERS-R (for ages two years and five months to five years) is one of the most widely used assessments of the quality of environment in early childhood classrooms. There are also more
extended versions (ECERS-E) and versions for infants and toddlers (ITERS-R), and now the ECERS-3. The latter has not yet been evaluated in the U.K. context. The ECERS-R is a global measure of quality that includes both structural aspects of the environment (such as the physical environment) and process quality (for example, the kind of language that staff direct to children). The scale was first published in 1980 and a revised version was published in 1998 (Harms and Clifford, 1980; Harms et al., 1998). The measure is designed to reflect developmentally-appropriate practice and includes seven subscales: space and furnishings (eight items), personal care routines (six items), language reasoning (four items), activities (ten items), interaction (five items), programme structure (four items), and parents and staff (six items). All versions of the ECERS are time-consuming, ranging from two hours to over three hours for each assessment period.

Research on the validity of the ECERS-R and the psychometric properties of the tool is limited given how widely it is used. The studies which have been conducted produced mixed results with some attesting to the validity of the scales (Cassidy, Hestenes, Hegde, Hestenes and Mims, 2005), but others are more critical (for example, Gordon, Fujimoto, Kaestner, Korenman and Abner, 2013). Recent research questions the scale’s structural validity and suggests that it does not identify a single factor. Overall, there is a general lack of domain specificity within the items and a lack of fit for items within the scale of the items included. Criterion validity has also been questioned with mixed results and small effect sizes in predicting child outcomes and moderate effect sizes for teacher-reported quality (Gordon et al., 2013). Psychometric limitations with the order specified in the measure have been highlighted with few of the indicators capturing moderate or high levels of quality (Gordon et al., 2015). Nonetheless, there is indicative evidence that the tool is sensitive to changes in response to intervention (Bryant, 2010). Moreover, the new ECERS-3 has improved the scaling and, importantly, enhanced the focus on teaching and interactions.

A recent U.K. development building on the ECERS-R and ECERS-E/ITERS-R is the Sustained Shared Thinking and Emotional Well-Being (SSTEW) Scale for two- to five-year-olds (Siraj, Kingston and Melhuish, 2015). The scale focuses on the adult’s role in supporting learning and development. The SSTEW consists of five subscales containing 14 items. Inter-observer reliability is reported by the authors to be 0.79 to 0.92 for subscales. The newness of the publication of the scale means that it has not been subject to wider evaluation and trial. The authors advise that the scale needs to be used by someone with knowledge of child development and appropriate practice. Moreover, the authors advise that some staff or settings may not be ready for all of the subscales, particularly those relating to critical thinking, assessment for learning, and supporting and extending language and communication.

By contrast, the CLASS measures have received evaluation in a range of contexts. CLASSPreK, K-3 and CLASS-Toddler were developed as tools to assess process quality in the classroom focused on teacher–child interactions (La Paro, Pianta and Stuhlman, 2004; Pianta, Hamre and La Paro, 2008). The CLASS operationalises difficult constructs such as scaffolding and contingent responding for early year education. There is evidence that these are important dimensions in supporting children’s development. The CLASS consists of three domains: ‘emotional support’, ‘classroom organisation’, and ‘instructions support’. Each domain is made up of several dimensions:

- emotional support includes negative climate, positive climate, teacher sensitivity, and regard for student perspective;
- classroom organisation includes behaviour management, productivity, and instructional learning formats; and
- instructional support includes concept development, quality of feedback, and language modelling, along with literacy focus.

Factor analysis of the earlier version of the CLASS yielded two domains: ‘emotional climate’ and ‘instructional climate’ (Perlman et al., 2016) where ‘emotional climate’ included all of the dimensions of the emotional support domain and the behaviour management dimension that later became part
of the classroom organisation domain. ‘Instructional climate’ was a composite of concept development and quality of feedback.

The CLASS-Toddler is structured into two domains: emotional and behavioural support (EBS) and engaged support for learning (ESL). The first domain, EBS, includes five dimensions: positive climate, negative climate, teacher sensitivity, regard for child perspective, and behaviour guidance. These dimensions focus on the emotional connection between the teachers and children, including teachers’ responsiveness to children and awareness of children’s developmental and individual needs, the degree to which classroom activities and interactions reflect the interests of the children and encourage child autonomy, and the use of effective methods to prevent and redirect problem behaviour. The ESL domain is assessed through the dimensions of ‘facilitation of learning and development’ and ‘language modelling’. These dimensions consider teachers’ ability to facilitate classroom routines, materials, and activities to support children’s learning and development opportunities. They also focus on teachers’ use of language stimulation and language facilitation techniques to encourage children’s language development. Each dimension is rated on a continuous scale from one (low) to seven (high) based on behaviours observed across the 20-minute segment. Dimension scores are averaged across the cycles to yield a classroom score for each dimension. Reliability estimates are good (Thomason and La Paro, 2009).

CLASS-Toddler ratings were positively associated with fewer behaviour problems; specifically, children in classrooms with higher levels on the CLASS-Toddler domains of ‘emotional and behavioural support’ as well as ‘engaged support for learning’ were reported to have fewer behaviour problems (La Paro, Williamson and Hatfield, 2014). The CLASS domain that was most closely linked to child outcomes was ‘instructional support’ (Perlman et al., 2016).

There are also two tools which target the language (and literacy) environment of early years settings. Both measures are grounded in the research literature underpinning language and literacy development, share similar features, and take about one hour to complete. Of particular importance is the emphasis on strong oral language environments including conversations, vocabulary, and opportunities to use language for authentic purposes. The Early Language and Literacy Classroom Observation (ELLCO, Smith, M. and Dickson D., 2002) has two versions: ‘Pre to K’ (four to five years) and ‘K to 3’ (five to eight years). It comprises three elements, including: a characteristics of literacy environment checklist (24 items), classroom observation (14 items), and literacy activities (9 items). The checklist has good inter-rater reliability, and detailed statistics—including features related to stability and change—are available, but no validity data is reported.2

The Communication Supporting Classrooms Tool focuses solely on the oral language environment (Dockrell, Bakopoulou, Law, Spencer and Lindsay, 2015). Based on the premise that the development of oral language skills is fundamental to learning and academic progress, this checklist was designed to capture the language learning environment, opportunities, and interactions. The checklist has demonstrated good inter-rater reliability and discriminates between different classrooms, but lacks evidence demonstrating its association with child language outcomes. The tool is freely available from the Communication Trust.3

Finally, it is also worth noting the Early Childhood Observation Measure (EECOM, Stipek & Byler, 2004) which is currently unpublished. The EECOM was created as a global classroom observation tool primarily to be used in research for studying the effects of teacher training and other interventions on classroom practices, and the effect of classroom practices on child outcomes. The tool includes scales describing two different approaches to instruction, constructivist and traditional learning theory. There is evidence that the scale is reliable (Stipek & Byler, 2005) and the scale has been used in a number of research studies. However, no published manual exist so we do not include it in any further discussion.

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3 https://www.thecommunicationtrust.org.uk/resources/resources/resources-for-practitioners/communication-supporting-classroom-observation-tool.aspx
Ways forward in assessing the learning environment

This review highlights a number of ways in which assessments of the learning environment raise different types of questions for research evaluation. Measures tend to focus on the quality of the environment rather than the quantity of learning opportunities. Results also show that domain-specific measures of the home and preschool quality were more strongly related to children's development than global quality measures (Votruba-Drzal and Miller, 2016). There is a lack of comparative British data in a standard form for the majority of the measures.

Reliability and validity data are not reported for many of the domain questions, and items in the generic measures—such as the HOME—are dated. This is clearly an important area to examine in research studies but careful choice of measures and an understanding of what they do, and do not, capture is essential. Findings suggest the need for additional research related to very young children's experiences in centre-based childcare to examine associations between quality and child experiences and outcomes. Teacher-reported child outcomes measures also have limitations. To ultimately understand children's experiences in childcare, additional measures of child outcomes should be considered to provide a profile of the children's learning and social-emotional experiences.

Choosing measures should be based on the specific domains of interest (Bryant, 2010). Given the potential in all of these measures to be subject to personal opinions, research studies should ensure that appropriate training with the scoring guidelines occurs and assessments of inter-rater reliability are collected. Specific care needs to be taken to ensure that the tools are culturally valid and sensitive. Associations between classroom quality and outcomes are typically significant but modest (Burchinal et al., 2009).
5. Methods

5.1 Child-based assessments

In order to carry out a systematic evaluation of children’s skills, three conditions must be met:

- tests must provide information about reliability and validity (see, for example, Friberg, 2010) and should meet minimum psychometric standards (Rust and Golombok, 1999);
- practitioners must have the appropriate qualifications; and
- tests must be used correctly.

Our first strand of activity focused on assessment evaluation with an examination of the psychometric properties of the child-based assessments.

Our search did not include bespoke baseline assessments devised by local authorities in response to the government's requirement (1999) that all children should be assessed within seven weeks of entering school (see Lindsay and Desforges, 1998). This decision was based on:

- the variation of elements included in the assessments;
- the differences in the ways in which the relevant domains were operationalized;
- the lack of basic psychometric data on the measures to support their use;
- the lack of consistency in assessment approaches; and
- challenges in scoring (Lindsay and Lewis, 2003; Lindsay, Martineau and Lewis, 2004).

However, we did include recent baseline test developments which are included in the section covering omnibus measures.

Table 1 outlines our strategy for evaluating the potential measures. The table delineates our search criteria. All tests identified are in Appendix A. Our first set of exclusionary criteria and our final coding of the measures. Detailed coding of all included measures can be found, by domain, in Appendix B.

Table 1: Search strategy for child based assessments

<table>
<thead>
<tr>
<th>Identification of tests</th>
<th>First filter</th>
<th>Second filter</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tests were excluded if they failed to meet criteria:*</td>
<td>If tests passed the first filter criteria, additional information coded:</td>
<td></td>
</tr>
<tr>
<td>Search key databases and web sources to identify tests used or cited in papers and reviews. Define search terms related to each of the four domains. For the purpose of this review, tasks or non-validated questionnaires were excluded. Only post-2000 published tests were retained.</td>
<td>1. Appropriate for children under 6 years 2. U.K.-based standardisation sample for norm-referenced tests 3. Standard scores or norm-referenced raw scores for U.K. population 4. Criterion/construct validity correlations with at least one other measure 5. Data on test reliability 6. Administration guidelines 7. Scoring criteria</td>
<td>1. Freedom from biases or caveat 2. Prior knowledge required 3. Testing time required 4. Evidence of sensitivity to intervention 5. Workload/administrative requirements</td>
</tr>
</tbody>
</table>

* Data to corroborate findings must have been available either in test manuals or published in peer reviewed outlets.
Each identified test was logged in the database and coded (where data was available) for: purpose, publication date, age range/population, standardisation sample, scores, reliability coefficients, face and content validity, and linkages with other measures. This data was sourced from technical reports and appendices of the measures, where available, from publishers or test designers. Where no data was available in technical reports, we searched the peer review literature for any research studies which had aimed to evaluate the psychometric properties of the measure. Those that met the specified minimum criteria were included for a second strand of more detailed analyses including, for example, practicality, restrictions on use, and evidence of sensitivity to intervention. Figure 1 presents the flow diagram for the identification of child assessments.

Figure 1: Flow diagram of assessments identified

Note: Omnibus tests include measures which allow evaluation of domain performance on subscales. When a measure was not published, and also did not have U.K. norms, it is only counted under ‘no U.K. standardisation’ in Figure 1. Eleven measures were not published and had no U.K. standardisation.
5.2 Environment assessments

To identify measures of the environment, we systematically searched research databases (WOS, SCOPUS, and ERIC) and the grey literature. Any publication referring to an evaluation of either the preschool home or early years environment was scrutinised and the measure used examined. In the majority of cases these were single questions and these approaches to capturing the environment were not considered further. Assessment of the generic environment and domains therein, which involved a systematic approach to evaluating the environment, were examined for inclusion. Table 2 provides details of the identified measures to assess the learning environment. These include both observation measures and checklists. These measures complement assessments of individual children by providing data on both the structure of the environment and the opportunities available for the children.

Table 2: Environment measures

<table>
<thead>
<tr>
<th>Measure</th>
<th>Author, publisher, date</th>
<th>Standardisation</th>
<th>Administration</th>
<th>Subscales</th>
<th>Reliability</th>
<th>Validity</th>
</tr>
</thead>
<tbody>
<tr>
<td>HOME (Home Observation for Measurement of the Environment)</td>
<td>Caldwell and Bradley (2001).</td>
<td>U.S.A.; infancy/toddlers (IT); preschool/early childhood (EC).</td>
<td>IT: 45 binary items; EC: 55 binary items; completed through 1-hour observation and parental report.</td>
<td>1. Emotional and verbal responsivity of mother. 2. Avoidance of restriction and punishment. 3. Organisation of physical and temporal environment. 4. Provision of appropriate play materials. 5. Maternal involvement with child. 6. Opportunities for variety in daily stimulation.</td>
<td>IT inter-observer agreement, at least 0.80; internal consistency of scales, 0.3–0.8.</td>
<td>Both concurrent and predictive with cognitive measures.</td>
</tr>
<tr>
<td>HOME – short form</td>
<td>Modification of the HOME inventory.</td>
<td>U.S.A. national longitudinal study of youth with norms derived from the study.</td>
<td>The HOME-SF is about half as long as the original HOME inventory.</td>
<td>More than half of the HOME-SF's items are multi-response maternal reports that were reworded, with the assistance of the instrument's designers, from the original HOME Inventory's dichotomous observer ratings.</td>
<td>Not reported</td>
<td>Not reported</td>
</tr>
<tr>
<td>Administration</td>
<td>20 minutes to complete.</td>
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<tr>
<td>Subscales</td>
<td>Measures social environmental aspects of the family; 90 items in 10 subscales.</td>
<td></td>
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<td></td>
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</tr>
<tr>
<td>Reliability</td>
<td>Mixed.</td>
<td></td>
<td></td>
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<tr>
<td>Validity</td>
<td>Conceptual strength but needs revision (Boyd, Gullone, Needleman and Burt, 1997).</td>
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**Family Child Care Environment rating scale – revised**

<table>
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<tbody>
<tr>
<td>Standardisation</td>
<td>Not reported.</td>
</tr>
<tr>
<td>Administration</td>
<td>Not reported.</td>
</tr>
<tr>
<td>Subscales</td>
<td>Scale consists of 37 items organised into 7 subscales: space and furnishings, personal care routines, listening and talking, activities, interaction, programme structure, parents and provider.</td>
</tr>
<tr>
<td>Reliability</td>
<td>Not reported.</td>
</tr>
<tr>
<td>Validity</td>
<td>Not reported.</td>
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</tbody>
</table>

**CHELLO (Child/Home Early Language and Literacy Observation Tool)**

<table>
<thead>
<tr>
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<tbody>
<tr>
<td>Standardisation</td>
<td>Home-based providers.</td>
</tr>
<tr>
<td>Administration</td>
<td>1½–2-hour observation recommended to be used by professionals with a ‘strong background’ in early childhood education.</td>
</tr>
<tr>
<td>Subscales</td>
<td>Items rated on a scale of 1–5; comprises literacy environment checklist, family observation, and provider interview.</td>
</tr>
<tr>
<td>Reliability</td>
<td>Weighted kappa was highly substantial at 0.84; for the group/family observation, the weighted kappa was moderately high at 0.54.</td>
</tr>
<tr>
<td>Validity</td>
<td>Not reported</td>
</tr>
</tbody>
</table>

**ECERS-R (Early Childhood Environment Rating Scale – revised)**

<table>
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<tr>
<th></th>
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</thead>
<tbody>
<tr>
<td>Standardisation</td>
<td>Not reported but see review for questions about validity and appropriateness of items.</td>
</tr>
<tr>
<td>Administration</td>
<td>Not reported</td>
</tr>
<tr>
<td>Subscales</td>
<td>Forty-three-item observational instrument; each item is rated from 1 (inadequate) to 7 (excellent) based on indicators—descriptions of quality listed below the 1, 3, 5, and 7 ratings. The scale contains seven subscales: space and furnishings (8 items), personal care routines (6 items), language reasoning (4 items), activities (10 items), interaction (5 items), programme structure (4 items), and parents and staff (6 items). Subscale scores are created by averaging across each of the items within a subscale, and the overall score is created by taking an average of all the items.</td>
</tr>
<tr>
<td>Reliability</td>
<td>Percentage of agreement across all 470 indicators, 86.1%, with all indicators having a percentage of agreement over 70% (Harms, Clifford and Cryer, 1998). Item level: the percentage of exact agreement was 48%; Pearson product</td>
</tr>
<tr>
<td>Tool</td>
<td>维度</td>
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<tr>
<td>-------------------------------------------</td>
<td>----------------------------------------------------------------------</td>
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<tr>
<td><strong>CLASS toddler (2–3 years, Pre K—K)</strong></td>
<td>moment correlation, 0.92; Spearman rank order correlation, 0.87. The interclass correlation total score was 0.92 (Clifford, Reszka and Rossbach, 2010).</td>
</tr>
<tr>
<td>Early Language and Literacy Observation (ELLCO)</td>
<td></td>
</tr>
<tr>
<td>Communication Supporting Classrooms Observation Tool</td>
<td></td>
</tr>
</tbody>
</table>
6. Results

Assessment tools that were either domain-specific or assessed the broad array of early childhood skills were sourced. The identified 146 assessment tools appropriate for children under six that included norm- and criterion-referenced tests which provided some psychometric information on their validity and reliability. Information on whether the test was free from biases and/or presented evidence of sensitivity to intervention was very rarely reported. In addition, although most tests included details concerning the administration time and the administration procedures, tests did not always indicate certification requirements. Table 3 shows the measures identified.

Table 3: Total figures identified in search (N = 146)

<table>
<thead>
<tr>
<th></th>
<th>Norms (U.K.)</th>
<th>Criterion</th>
<th>Both</th>
<th>Not published</th>
</tr>
</thead>
<tbody>
<tr>
<td>Omnibus (n = 36)</td>
<td>30 (10)</td>
<td>5</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>Language (n = 42)</td>
<td>31 (14)</td>
<td>1</td>
<td>1</td>
<td>11</td>
</tr>
<tr>
<td>Literacy (n = 23)</td>
<td>15 (8)</td>
<td>1</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>Social-emotional (n = 29)</td>
<td>26 (3)</td>
<td>1</td>
<td>1</td>
<td>7</td>
</tr>
<tr>
<td>Numeracy (n = 16)</td>
<td>12 (3)</td>
<td>2</td>
<td>0</td>
<td>4</td>
</tr>
</tbody>
</table>

6.1 Omnibus tests

We identified 36 omnibus measures that tapped skills related to at least two of the covered domains, of which, 16 could be used with children under the age of two. Of the 30 norm-referenced assessments, seven had U.K. norms collected within the last 18 years:

- Baseline and Baseline Progress (two-and-a-half to seven years);
- Bayley Scales of Infant and Toddler Development, Third Edition (Bayley-III) (1 month to 42 months);
- British Ability Scales (BAS III) (three to eight years and eleven months)
- Griffiths Mental Development Scales-Extended revised (GMDS-ER) (two to eight years)
- the WPPSI-IV (two years six months to seven years three months)
- the WIAT IIIUK (four years to 25 years and 11 months); and
- the WRAT-4 (5 to 94 years).

The criterion-referenced measures included a range of assessments which captured early arithmetic, reading, and learning indicators including phonological awareness.

All norm-referenced assessments for this age group needed to be administered individually, requiring between 12 to over 60 minutes (Early Learning Scale Preschool Assessment may be completed over several sessions) and the majority required relevant certification. Overall, these omnibuses were time-intensive and dependent on professional expertise. By contrast, the criterion-referenced measures provide clear benchmarks for key skills underpinning language, literacy, and numeracy in the early years from ages two to six. Six of these measures did not require specialist training to administer the measures.

---

4 We have used the version of tests available when completing the review. However, new versions of the Wechsler tests and the CELF will be published in 2017.
We also identified a range of recently published baseline assessment measures covering language literacy, numeracy, and behaviour. The EExBAR is one of three tests developed for the DfE to assess reception children on a basis similar to the previous Early Years Foundation Profile. The other two are the NFER Baseline Assessment and the CEM Baseline (which is a baseline equivalent of InCAS/PIPS). These three tests were compared by the Standards and Testing Agency in 2015 (Standards and Testing Agency, 2016) which concluded that they were not comparable, although correlation on literacy between the CEM and NFER assessments was 0.78, and on numeracy, 0.81. The EExBAR was the weakest of the three. The NFER uses teacher judgments, such as tasks on phonics segmenting similar to a range of tests recommended for literacy. Data on reliability and validity is not publically available but the Standards and Testing Agency report provides evidence of concurrent validity in literacy and the website reports that it has been ‘standardised with a nationally representative sample of over 65,000 children’. The reports available suggest that it is age-normed, and for this reason meets the inclusion criteria applied in this report. The CEM test also covers numeracy and literacy and the programme produces age-standardised reports. There is no data on its reliability, and as it is an online test drawing on 247 items, comparisons across children and times of testing cannot be associated with specific indicators of performance.

6.2 Language tests

The domain review (Section 4.1) identified the importance of examining both structural (vocabulary and grammar) and pragmatic aspects of language. These aspects were included in one or more measures and 42 different measures were identified. Of the 31 norm-referenced tests, 14 had U.K. norms. Both omnibus language tests and tests of separate aspects of the language system (vocabulary and grammar) met the inclusionary criteria. Omnibus tests typically required specialist certification. Two tests (Wellcomm and Gaps) were open to general use.

Limited assessments of social communication were available and where these existed were part of a checklist completed by either parent or practitioner. The majority of the assessments involved individual testing of the child, but three—the MacArthur-Bates Communicative Development Inventories (CDIs), Children’s Communication Checklist, and the Preschool Language Scale (under 2 years and 11 months)—were completed by other informants (typically carers).
Table 4: Assessments meeting criteria for language

<table>
<thead>
<tr>
<th>Omnibus</th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
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<tbody>
<tr>
<td>British Abilities Scale III</td>
<td></td>
<td>√</td>
<td></td>
<td></td>
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<tr>
<td>Brigance</td>
<td>√</td>
<td></td>
<td>√</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>WPPSI-IV</td>
<td></td>
<td>√</td>
<td>√</td>
<td>√</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>WIAT-IIIUK</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td></td>
<td></td>
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<tr>
<td>Language Tests</td>
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<td></td>
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<td></td>
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<td>Action Picture Test revised</td>
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<td></td>
</tr>
<tr>
<td>Bus Story</td>
<td></td>
<td></td>
<td>√</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>British Picture Vocabulary test (BPVS-3)</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
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<td>CELF-Preschool 2</td>
<td>√</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Children’s Communication Checklist</td>
<td>√</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>√</td>
</tr>
<tr>
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<td></td>
<td>√</td>
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</tr>
<tr>
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<td></td>
<td></td>
<td></td>
<td></td>
<td>√</td>
</tr>
<tr>
<td>GAPS</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>√</td>
</tr>
<tr>
<td>MacArthur Bates Communicative Development Inventories—words and sentences</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>√</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>New Reynell Developmental Language Scales (NRDLS-4)</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Preschool Language Scales (PLS-4)</td>
<td>√</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Test of Reception of Grammar (TROG-2)</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>√</td>
</tr>
<tr>
<td>Wellcomm: The Complete Speech Language Tool Kit</td>
<td>√</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Word Finding Vocabulary Test</td>
<td></td>
<td></td>
<td>√</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

• Global language: measure including receptive and expressive vocabulary and grammar.

Measures of both the home and preschool oral language environment required training but not specialist accreditation. These measures speak to the language learning opportunities available for children.

### 6.3 Literacy tests

Twenty-two omnibus tests which sampled items from the literacy domain and 23 literacy tests were identified which addressed at least one of the key, age-relevant literacy competencies identified in the domain review (Section 4.2)—letter knowledge, phonological awareness, decoding (word and non-word reading), conventions of print, and spelling. Of these, 17 met the study criteria (see Table
8). Eight were literacy-specific tests, but one of these, PIPA, has only partial U.K. norms. The DEST, ERDA, and OS can be administered by a suitably prepared classroom teacher; the others have training requirements for test administrators, for example, speech and language therapists, psychologists, or teacher experts in speech and language. Nine omnibus tests addressed at least one of the key, age-relevant literacy competencies. Six of the nine omnibus tests have U.K. norms (EARLI, BAS III, Baseline and Baseline Progress, NFER Baseline, WRAT-4, and WIAT-III UK) but the WRAT-4 and the WIAT-III UK literacy elements are not normed for children under six. The BAS-III must be administered by a suitably trained psychologist or equivalent; the other tests may be administered by suitably prepared teachers or equivalent.

There is a reasonably good choice for tests measuring letter knowledge and phonological awareness, often including a range of sub-tests in the case of phonological awareness. Individual tests or sub-tests are typically fairly quick to administer (under ten minutes). The more sub-tests selected, the longer the test time, so it is sensible to think about which aspects of the skill are most important, for example because they are age appropriate or reflect the nature of the intervention. Tests of word and non-word reading are challenging for under-sixes and spelling is very challenging. For example, the BAS-III Technical Manual advises that its word reading and spelling tests are ‘intended to be given to children aged 6:00 to 17:11, but can also be administered to children aged 5:00 to 5:11 of above average literacy skill’. The phonics screening check is suitable for children at the end of Year 1.

Table 5: Assessments meeting criteria for literacy

<table>
<thead>
<tr>
<th>Omnibus</th>
<th>Letter knowledge</th>
<th>Phonological awareness</th>
<th>Word reading*</th>
<th>Conventions of print</th>
<th>Spelling</th>
</tr>
</thead>
<tbody>
<tr>
<td>BAS III</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Baseline and baseline progress</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Brigance</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>CIRCLE</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>EARLI</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>ELS</td>
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<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>NFER Baseline Assessment</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>WIAT-III UK</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>WRAT-4</td>
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<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
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<tr>
<td>Literacy</td>
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<td>✓</td>
<td>✓</td>
<td>✓</td>
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<tr>
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<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
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<td>Diagnostic Test of Word Reading Processes</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
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</tr>
</tbody>
</table>
6.4 Numeracy tests

We identified 23 omnibus tests and 16 numeracy tests assessing number concepts and skills. Thirteen assessments met the study criteria. The domain review (Section 4.3) identified four main areas of preschool number development: counting, transcoding, comparing numerical magnitudes, and simple arithmetic. Counting is assessed by three types of item: knowledge of number sequence (C1), counting objects in arrays (C2), and judging puppet counts (C3). Transcoding is assessed by reading numerals (T1), writing numerals (T2), and matching numerals to numbers (T3). Comparing numerical magnitude is assessed with numeral comparisons (M1) and spoken number comparisons (M2). Simple arithmetic is assessed with nonverbal arithmetic (S1), number combinations (S2), and story problems (S3).

The table below summarises the coverage of these in the selected tests. The Early Learning Scale Preschool Assessment (ELS) is the only measure based on teacher observation.

<table>
<thead>
<tr>
<th>Omnibus tests</th>
<th>Counting</th>
<th>Transcoding</th>
<th>Simple arithmetic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Baseline and baseline progress</td>
<td>✓</td>
<td>✓</td>
<td></td>
</tr>
<tr>
<td>Brigance</td>
<td>✓ ✓</td>
<td>✓ ✓ ✓</td>
<td>✓ ✓</td>
</tr>
<tr>
<td>BAS III</td>
<td>✓ ✓</td>
<td>✓ ✓ ✓</td>
<td></td>
</tr>
<tr>
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<td>✓ ✓ ✓</td>
<td></td>
<td>✓</td>
</tr>
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</tr>
<tr>
<td>ELS</td>
<td>✓ ✓ ✓</td>
<td></td>
<td></td>
</tr>
<tr>
<td>WIAT III UK</td>
<td>✓ ✓ ✓</td>
<td></td>
<td>✓ ✓</td>
</tr>
<tr>
<td>WRAT-4</td>
<td></td>
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<table>
<thead>
<tr>
<th>Numeracy tests</th>
<th>Counting</th>
<th>Transcoding</th>
<th>Simple arithmetic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Keymath-3</td>
<td>✓ ✓</td>
<td></td>
<td>✓ ✓</td>
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<tr>
<td>MaLT- CAP</td>
<td>✓ ✓</td>
<td>✓ ✓ ✓</td>
<td>✓ ✓</td>
</tr>
<tr>
<td>PNI</td>
<td>✓ ✓</td>
<td></td>
<td></td>
</tr>
<tr>
<td>The Preschool Numeracy Scales</td>
<td>✓ ✓</td>
<td>✓ ✓ ✓</td>
<td>✓ ✓</td>
</tr>
<tr>
<td>PUMA</td>
<td>✓ ✓ ✓</td>
<td>✓ ✓ ✓</td>
<td>✓ ✓</td>
</tr>
</tbody>
</table>

* Word reading—word and non-word.
† Name-writing and observation.
‡ Name-writing.
As this table indicates, only four of the selected tests (BAS III, MaLT-CAP, Preschool Numeracy Scales, and PUMA) feature items in all four areas and none include all types of item. As Dowker (2005) points out, there is no single mathematics ability. Hence the correlations between the different areas are not substantial. This makes choosing a test which does not cover all four areas a risky strategy for evaluating interventions. An intervention which produces gains in the areas assessed may not produce gains in the untested areas. An intervention which does not produce gains in the areas assessed may yet affect the development in untested areas.

6.5 Social and emotional development

We identified 29 assessments of social-emotional development, typically all completed either by parents or teachers. Four measures met the study criteria and captured competencies identified in the domain review. The four selected measures (see Table 7), all well-known and widely-used to assess social and emotional skills, are described below.

Table 7. Assessments meeting criteria for social and emotional development

<table>
<thead>
<tr>
<th>Tests</th>
<th>Social Competence</th>
<th>Emotional Competence</th>
<th>Behaviour problems</th>
<th>Self-regulation</th>
</tr>
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<td>ASQ: SE-2</td>
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<td>✓</td>
<td>✓</td>
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<td>HBQ</td>
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<td>✓</td>
<td></td>
<td></td>
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<tr>
<td>SDQ</td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SCQ</td>
<td>✓</td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

The Ages and Stages Questionnaire, third edition (ASQ-3)

The ASQ is a brief measure in which parents rate their child’s current skills and development from the ages of one month to five and a half years. Twenty-one questionnaires are available within this age range. Parents answer 30 questions covering five domains of development, including communication, gross motor, fine motor, problem-solving, and adaptive skills. Parents are instructed to try activities with their child to facilitate accurate assessment. A pass/fail score is assigned for each area of development. The presence of any domain screened less than two standard deviations below the mean area score is considered a positive screen. The ASQ-3 has been validated by using large, standardised samples of children from diverse ethnic and socioeconomic backgrounds. The ASQ-3 has been validated in many countries and translated into numerous languages. In most publications, it has been reported that the ASQ is accurate in detecting true problems in apparently healthy children and even in children with biological risk factors, and has been widely used in primary care settings. The ASQ Social-Emotional second edition (ASQ: SE-2) is exclusively focused on children’s social and emotional development from the ages of one month to six years. Domains covered include self-regulation, compliance, communication, adaptive behaviours, autonomy, affect, and interaction with people. It has nine questionnaires and about 30 items per questionnaire. It is valid and reliable, with reported test-test reliability about 89%, internal consistency about 84%, and validity about 83%.

The MacArthur Health and Behavior Questionnaire (HBQ)\textsuperscript{6}
This test was designed to collect data from adult reporters (parents and teachers) about children between the ages of four and eight years. The HBQ comprises multiple scales that measure children’s mental health symptoms (for example, internalising and externalising symptoms), physical health, social functioning (for example, peer acceptance and peer rejection, prosocial behaviours), and, for older children, school functioning (such as academic competence, school engagement). The parent version (suitable for four- to eight-year-olds) includes 140 items. The items included in the HBQ are derived from existing measures. The HBC is not normed for the U.K. population but provides criterion-referenced items.

The Strengths and Difficulties Questionnaire (SDQ)\textsuperscript{7}
The SDQ is a 25-item measure completed for the preschool years by the parent. It is a screening tool for 2–17-year-olds. The SDQ has four scales of ‘difficulties’ (emotional symptoms, conduct problems, hyperactivity, and peer problems), and one ‘strength’, prosocial behaviour. Each SDQ scale has five items (scored from 0, ‘not true’, to 2, ‘certainly true’) and the four difficulties scale scores are added to compute a ‘total difficulties’ score, measuring overall level of problem behaviour. The SDQ offers the following mapping:

- **Internalising:**
  - emotional symptoms—for example, ‘many worries’, ‘often unhappy, downhearted’, and
  - peer problems—for example, ‘rather solitary, tends to play alone’, ‘picked on or bullied’.

- **Externalising:**
  - hyperactivity—for example, ‘restless, overactive’, ‘easily distracted, concentration wanders’, and
  - conduct problems—for example, ‘often has temper tantrums or hot tempers’, ‘often fights with other children’.

- The prosocial behaviour scale has items such as, ‘considerate of other people’s feelings’, and ‘shares with other children’.

The SDQ’s internal consistency is ‘very good’ or ‘excellent’ (Stone, Otten, Engels, Vermulst and Janssens, 2010). The SDQ is the most widely used measure of children’s socio-emotional development in the U.K.

The Social Communication Questionnaire (SCQ)\textsuperscript{8}
The SCQ is a brief instrument that can be used to evaluate anyone over four years age as long as his or her mental age exceeds two years. It is a 40-item parent questionnaire. The responses that caregivers provide about their children’s social communication behaviours yield a total score. Scores above 15 points indicate social-communication difficulties that warrant further assessment for the presence of an autism spectrum disorder. The SCQ is meant to serve as an efficient method of identifying children with communication and social delays.

\textsuperscript{6} https://macarthurhbq.wordpress.com/
\textsuperscript{7} http://www.sdqinfo.com/
\textsuperscript{8} http://www.wpspublish.com/store/p/2954/social-communication-questionnaire-scq
References


Caldwell, B. M. and Bradley, R. H. (2001) *HOME inventory and administration manual* (3rd edn), University of Arkansas for Medical Sciences and University of Arkansas at Little Rock.


Mott, F. L. (1993) *Absent fathers and child development: Emotional and cognitive effects at ages five to nine*, Columbus OH: The Ohio State University, Center of Human Resources Research.


The Glossary of Educational Reform', [http://edglossary.org/about/](http://edglossary.org/about/)


# Appendix A: Assessments identified in search

<table>
<thead>
<tr>
<th>Name of Test</th>
<th>Author/s</th>
<th>Publisher</th>
</tr>
</thead>
<tbody>
<tr>
<td>(ABAS-3) Adaptive Behaviour Assessment System, third edition</td>
<td>P. Harrison and T. Oakland</td>
<td>Hogrefe</td>
</tr>
<tr>
<td>Action Picture Test revised</td>
<td>C. Renfrew</td>
<td>Speechmark publishing (Routledge)</td>
</tr>
<tr>
<td>(ASBI) Adaptive Social Behaviour Inventory</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ASPECTS</td>
<td></td>
<td>CEM Center for Evaluation and Monitoring</td>
</tr>
<tr>
<td>(BAS III-Early years) British ability Scales</td>
<td>C. D. Elliot, P. Smith and K. McCulloch</td>
<td>GL-Assessment</td>
</tr>
<tr>
<td>Baseline and Baseline Progress</td>
<td></td>
<td>GL-Assessment</td>
</tr>
<tr>
<td>(Bayley-III) Bayley Scales of Infant and Toddler Development, third edition</td>
<td>N. Bayley</td>
<td>Pearson</td>
</tr>
<tr>
<td>(BBCS-3) Bracken Basic Concept Scale</td>
<td>B. A. Bracken</td>
<td>The Psychology Corporation</td>
</tr>
<tr>
<td>(BDI-2 Screening Test) Battelle Developmental Inventory Test, second edition</td>
<td>J. Newborg</td>
<td>Riverside Publishing</td>
</tr>
<tr>
<td>(BESSI) Brief Early Skills and Support Index</td>
<td>C. Hughes, I. Daly, S. Foley, N. White and R. T. Devine</td>
<td>Centre for Family Research, University of Cambridge</td>
</tr>
<tr>
<td>(Boehm-3) Boehm Test Preschool, third edition</td>
<td>A. E. Boehm</td>
<td>Pearson</td>
</tr>
<tr>
<td>Boxall Profile</td>
<td>M. Boxall</td>
<td>The Nurture Group Network</td>
</tr>
<tr>
<td>(BPVS-3) British Picture Vocabulary Scale, third edition</td>
<td>L. M. Dunn, D. M. Dunn, B. Styles and J. Sewell</td>
<td>GL-Assessment</td>
</tr>
<tr>
<td>Brigance Diagnostic Inventory of Early Development II</td>
<td></td>
<td>Curriculum Associates, Inc.</td>
</tr>
<tr>
<td>Bus Story</td>
<td>C. Renfrew</td>
<td>The Centerville School Delaware</td>
</tr>
<tr>
<td>(CASL-2) Comprehensive Assessment of Spoken Language, second edition</td>
<td>E. Carrow-Woolfolk</td>
<td>Pearson</td>
</tr>
<tr>
<td>Test Name</td>
<td>Authors/Developers</td>
<td>Publisher/Note</td>
</tr>
<tr>
<td>-----------------------------------------------</td>
<td>---------------------------------------------------------</td>
<td>-----------------------------------------------------</td>
</tr>
<tr>
<td>(CBCL) Child Behaviour Checklist</td>
<td>T. M. Achenbach and L. A. Rescorla</td>
<td>Achenbach System of Empirically Based Assessment (ASEBA)</td>
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<td>(CBQ) Child Behaviour Questionnaire</td>
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<td>J. Richards, C. R. Greenwood and J. K.</td>
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# Appendix B: Assessments by domain

## Domain 1: Omnibus

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<td>(CASL-2) Comprehensive Assessment of Spoken Language, second edition</td>
<td>E. Carrow-Woolfolk</td>
<td>Pearson</td>
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<td>(CCC-2) Children's Communication Checklist</td>
<td>D. Bishop</td>
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<td>(CISU) Conceptual Interview on Scientific Understanding</td>
<td>Spycher, P.</td>
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<tr>
<td>(DEAP) Diagnostic Test of Articulation and Phonology</td>
<td>B. Dood, Z. Hua, S. Crosbie, A. Holm and A. Izanne</td>
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<tr>
<td>(DELV) Diagnostic Evaluation of Language Acquisition</td>
<td>H. N. Seymour, W. R. Thomas, J. deVilliers and A. deVilliers</td>
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<td>Developmental Snapshot</td>
<td>J. Richards, C. R. Greenwood and J. K. Montgomery</td>
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<td>(ERB) Early Repetition Battery</td>
<td>B. Seeff-Gabriel, S. Chiat and P. Roy</td>
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<tr>
<td>(ESVA) Emergent Science Vocabulary Assessment</td>
<td>P. Spycher</td>
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<td>(EVT-2) Expressive Vocabulary Test, second edition</td>
<td>K. T. Williams</td>
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<td>(FirstSTEP) Screening Test for Evaluating Preschoolers</td>
<td>L. Miller</td>
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<td>(Fluharty-2) Fluharty Preschool Speech and Language Screening Test, second edition</td>
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<td>GAPS</td>
<td>H. van der Lely, H. Gardner, K. Froud and A. McClelland</td>
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<td>(GRTR-R) Get Ready to Read!–revised</td>
<td>J. Grover Whitehurst and C. Lonigan</td>
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<td>(GFTA-3) Goldman-Fristoe Test of Articulation, third edition</td>
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<td>(KLPA-2) Khan Lewis Phonological Assessment, second edition</td>
<td>L. Khan and N. Lewis</td>
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<td>(NAP) Narrative Assessment Protocol</td>
<td>K. Pence, L. Justice and C. Gosse</td>
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<td>(OWLS-2) Oral and Written Language Scales, second edition</td>
<td>Carrow-Woolfolk, E.</td>
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<td>(PLAI-2) Preschool Language Assessment Instrument, second edition</td>
<td>B. Dodd, S. Crosbie, B. McIntosh, T. Teitzel and A. Ozanne</td>
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<td>Receptive grammar task in Comprehension of Third Person Singular /s/ in AAE-Speaking Children</td>
<td>V. Johnson</td>
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<td>(REEL-3) Receptive Expressive Emergent Language, third edition</td>
<td>K. Bzoch, R. League and V. Brown</td>
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<td>SELF vocabulary measure</td>
<td>L. Santiago</td>
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<td>(SNAP) Strong Narrative Assessment Procedure</td>
<td>C. Strong</td>
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<td>(TNR) The Test of Narrative Retell</td>
<td>T. D. Spencer and D. B. Petersen</td>
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<tr>
<td>(TOLD-4) Test of Oral Language Development Primary, 4th edition</td>
<td>P. L. Newcomer and D. D. Hammill</td>
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<td>(TOPL) The Test of Pragmatic Language</td>
<td>D. Phelps-Terasaki and T. Phelps-Gunn</td>
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<td>(TROG-2) Test of Reception of Grammar, second edition</td>
<td>C. Bishop</td>
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<td>(TWF-3) Test of word finding, third edition</td>
<td>D. J. German</td>
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<td>Vocabulary task in Learning New Words from Storybooks</td>
<td>L. Justice</td>
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<td>Wellcomm: The Complete Speech Language Tool Kit</td>
<td>A Hurd, D. McQueen,</td>
<td>GL-Assessment</td>
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<td>Word Finding Vocabulary Test</td>
<td>C. Renfrew and P. Mitchell</td>
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**Domain 3: Literacy**

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<td>(CELDT) California English Language Development Test</td>
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<tr>
<td>(DEST-2) Dyslexia Early Screening Test, second edition</td>
<td>R. Nicolson and A. Fawcett</td>
<td>Pearson</td>
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<tr>
<td>Diagnostic Test of Word Reading Performance</td>
<td>H. N. Seymour, W. R. Thomas, J. deVilliers and A. deVilliers</td>
<td>Pearson</td>
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<tr>
<td>(DIBELS-6) Dynamic Indicators of Basic Early Literacy skills, 6th edition</td>
<td>R. H. Good and R. A. Kaminsky</td>
<td><a href="http://dibels.uoregon.edu">http://dibels.uoregon.edu</a></td>
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<tr>
<td>(ELP-MAT) Early Literacy Progress Monitoring Assessment Tool</td>
<td>J. Kaderavek</td>
<td>Not published</td>
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<tr>
<td>(ELSA) Early Literacy Skills Assessment</td>
<td>A. DeBruin-Parecki</td>
<td>High/Scope Educational Reading Foundation</td>
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<tr>
<td>Emerging Literacy Screening</td>
<td>L. H. Paulson, I. Noble, S. Jepson and R. A. van den Pol</td>
<td>Not published</td>
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<tr>
<td>(ERDA-2) Early Reading Diagnostic Assessment, second edition</td>
<td>J. L. Wiederholt and B. R. Bryant.</td>
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<td>Hodder Oral Reading Test</td>
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<td>Observation Survey Of Early Literacy Achievement</td>
<td>M. Clay</td>
<td>Heineman</td>
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<td>(PALS-PreK) Phonological Awareness Literacy Screening</td>
<td>M. Invernizzi, A. Sullivan, J. Meier and L. Swank</td>
<td>Virginia Department of Education; University of Virginia</td>
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<tr>
<td>(PhAB-2) Phonological Assessment Battery, second edition</td>
<td>S. Gibbs and S. Dodman</td>
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<td>(PIPA) Pre-reading Inventory of</td>
<td>B. Dodd, S. Crosbie, B.</td>
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### Domain 4: Social-emotional

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<td>(ABAS-3) Adaptive Behaviour Assessment System, third edition</td>
<td>P. Harrison and T. Oakland</td>
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<td>(ASBI) Adaptive Social Behaviour Inventory</td>
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<td>Boxall Profile</td>
<td>M. Boxall</td>
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<tr>
<td>(CBCL) Child Behaviour Checklist</td>
<td>T. M. Achenbach and L. A. Rescorla</td>
<td>Achenbach System of Empirically Based Assessment (ASEBA)</td>
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<td>(CBQ) Child Behaviour Questionnaire</td>
<td>S. P. Putnam and M. K. Rothbart</td>
<td>University of Oregon</td>
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<tr>
<td>(CSBI) Child Sexual Behaviour Inventory</td>
<td>W. N. Friedrich</td>
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<tr>
<td>(DCCS) Dimensional Change Card Sort</td>
<td>P. D. Zelazo</td>
<td>Not published</td>
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<tr>
<td>(DECA-P2) Devereux Early Childhood Assessment, second edition</td>
<td>P. LeBuffe and J. Naglieri</td>
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<td>(ECADDES) Early Childhood Attention Deficit Disorders Evaluation Scale</td>
<td>S. McCartney</td>
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<td>(ECBI) Eyberg Child Behaviour Inventory</td>
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<td>(ECI-5) Early Childhood Inventory, 5th edition</td>
<td>J. Sprafkin and K. D. Gadow</td>
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<td>Effortful Control Battery</td>
<td>G. Kochanska</td>
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<td>(GARS-2) Gilliam Autism Rating Scale, second edition</td>
<td>J. E. Gillian</td>
<td>Pearson</td>
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<td>(HTKS) Head-Toes-Knees-Shoulders</td>
<td>C. C. Ponitz, M. M. McClelland, A. M. Jewkes, C. M. Connor and F. J. Morrison</td>
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<td>(PIPPS) Penn Interactive Peer Play Scale</td>
<td>Fantuzzo and McWayne</td>
<td>Not published</td>
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<td>(PKBS-2) Preschool and Kindergarten Behaviour Scales, second edition</td>
<td>K. W. Merrell</td>
<td>HMH</td>
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<td>(PSRA) Preschool Self-Regulation Assessment</td>
<td>R. Smith-Donald, C. Cybele Raver, T. Hayes and B. Richardson</td>
<td>Downloadable from CSRP Chicago School Readiness Project</td>
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<tr>
<td>(RDC-PA) Research Diagnostic Criteria-Preschool Age</td>
<td>M. Scheeringa</td>
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<td>(SCQ) Social Communication Questionnaire</td>
<td>M. Rutter and A. Bailey</td>
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<td>(SDQ) Strengths and Difficulties Questionnaire</td>
<td>R. N. Goodman</td>
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### Domain 5: Numeracy

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<td>Child Math Assessment</td>
<td>A. Klein and P. Starkey</td>
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<td>(EMDA) Early Maths Diagnostic Assessment</td>
<td>L. Fuchs, D. Fuchs, S. Eaton and C. Hamlett</td>
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<tr>
<td>(ENT-R) Early Numeracy Test</td>
<td>J. E. van Luit and B. A. Van de Rijt</td>
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<td>KeyMaths-3</td>
<td>A. J. Connolly</td>
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<td>(MaLT-CAP) Mathematics Assessment for Learning and teaching - Computer Adaptive Testing</td>
<td>University of Manchester</td>
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<td>Number Knowledge Test</td>
<td>S. Griffin</td>
<td>McGraw-Hill/SRA</td>
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<tr>
<td>(PNI) Preschool Numeracy Indicators</td>
<td>R. G. Floyd, R. L. Hojnoski and J. M. Key</td>
<td>Not published</td>
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<td>Progress Test in Maths</td>
<td>GL-Assessment</td>
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<td>(PUMA) Progress in Understanding Mathematics Assessment</td>
<td>C. Cooke and C. McCarty</td>
<td>Hodder Education</td>
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<td>Quantitative Reasoning Assessment</td>
<td>T. Nunes and P. Bryant</td>
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<td>Sandwell Early Numeracy Test, revised</td>
<td>C. Arnold, P. Bowden, M. Talents and R. Waldon</td>
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<td>(TEAM) Tools for Early Assessment in Mathematics</td>
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